

Natural Gas Aggregation Application Form

This form may be used to: (1) apply for initial registration as a natural gas aggregator in New Hampshire, (2) apply for renewal of registration as natural gas aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed gas aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 3006.02 when applying for initial or renewal registration as a gas aggregator, but you are not required to use this form when doing so.

| Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/> | | |
|---|---|--|
| Applicant's General Information | | |
| Puc 3006.02(a) | Legal Name | Summit Energy Services, Inc. |
| | Trade Name (d/b/a) (if applicable) | |
| Puc 3006.02(b) | Business Mailing Address | 10350 Ormsby Park Place, Suite 400; Louisville, KY 40223 |
| | Telephone Number | (502) 429-3800 |
| | E-Mail Address | |
| | Website Address (if applicable) | www.se.com |
| Puc 3006.02(c) | Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form. | |
| | Name | Jonathan Zanetti |
| | Title | Senior Director, Operations |
| | Business Mailing Address | 10350 Ormsby Park Place, Suite 400; Louisville, KY 40223 |
| | Telephone Number | (502) 753-3134 |
| | E-Mail Address | Jonathan.zanetti@se.com |
| | <hr/> | |
| | Name | See Attached |
| | Title | |
| | Business Mailing Address | |
| | Telephone Number | |
| | Email Address | |
| | <hr/> | |
| | Name | |
| Title | | |
| Business Mailing Address | | |
| Telephone Number | | |
| E-Mail Address | | |

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

| Customer Service Contact | | |
|--------------------------|--|-----------------------------|
| Puc 3006.02(d) | Name | Jonathan Zanetti |
| | Title | Senior Director, Operations |
| | Telephone Number | (502) 753-3134 |
| | Toll-Free Telephone Number (if available) | |
| | E-Mail Address | Jonathan.zanetti@se.com |

| Customer Complaints Contact | | |
|-----------------------------|--------------------------|--|
| Puc 3006.02(e)(1) | Name | Jonathan Zanetti |
| | Title | Senior Director, Operations |
| | Business Mailing Address | 10350 Ormsby Park Place, Suite 400; Louisville, KY 40223 |
| | Telephone Number | (502) 753-3134 |
| | E-Mail Address | Jonathan.zanetti@se.com |

| Regulatory Compliance Matters Contact | | |
|---------------------------------------|--------------------------|--|
| Puc 3006.02(e)(2) | Name | Jonathan Zanetti |
| | Title | Senior Director, Operations |
| | Business Mailing Address | 10350 Ormsby Park Place, Suite 400; Louisville, KY 40223 |
| | Telephone Number | (502) 753-3134 |
| | E-Mail Address | Jonathan.zanetti@se.com |

| Department of Energy Assessment Payments Contact | | |
|--|--------------------------|--|
| Puc 3006.02(e)(3) | Name | Jonathan Zanetti |
| | Title | Senior Director, Operations |
| | Business Mailing Address | 10350 Ormsby Park Place, Suite 400; Louisville, KY 40223 |
| | Telephone Number | (502) 753-3134 |
| | E-Mail Address | Jonathan.zanetti@se.com |

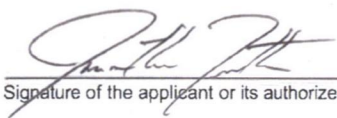
| Separate Attachments: Business Authority and Trade Name | |
|---|--|
| Puc 3006.02(f) | Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; (2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. |
| Puc 3006.02(g) | Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name. |

| Statements Regarding Applicant and its Principals | | |
|--|---|----------------------|
| Please respond to each of the following questions with either "Yes" or "No." | | |
| Puc 3006.02(i)(1) | Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court? | No |
| Puc 3006.02(i)(2) | Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation? | Yes |
| Puc 3006.02(i)(3) | Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | Yes |
| Puc 3006.02(i)(4) | Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation? | No |
| Puc 3006.02(i)(5) | Has applicant or any of its principals been denied authorization to provide competitive gas supply service or gas aggregation service in any other state or jurisdiction? | No |
| Puc 3006.02(j) | If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed. | Please see attached. |

| Other States | | |
|----------------|--|----------------------|
| Puc 3006.02(h) | Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of gas customers. | Please see attached. |

| Statement Regarding Supplier Representation | | |
|---|---|----------------------|
| Puc 3006.02(k) | Please list the competitive natural gas suppliers (CNGS) in New Hampshire through which the applicant intends to provide service. | Please see attached. |
| Puc 3006.02 (l) | Please provide a statement that the applicant is not representing any supplier interest or provide a list of any supplier interests the applicant intends to represent. | No |

| Expected Marketing Start Date | | |
|-------------------------------|---|-------------------------|
| Puc 3006.02(m) | Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. | <u>2/5/2024</u> Date |

| Attestation and Signature | | |
|---------------------------|--|-----------------------------------|
| Puc 3006.02(n) and (o) | <p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name:</p> <p>Title:</p> | <p><u>10/10/2023</u> Date</p> |

| Filing Instructions | | |
|---|--|--|
| <p>1) Mail an original and two paper copies of this form and all separate attachments to:</p> <p style="text-align: center;">New Hampshire Department of Energy 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov</p> | | |

Summit Energy Services, Inc. Officers

Directors

Peter Wexler – SVP & Chief Legal and Compliance Officer
132 Fairgrounds Rd, West Kingston, RI 02892

Stephanie Lucien – ESS Finance VP
35 rue Joseph Monier, Rueil Malmaison, Hauts de Seine, France 92500

Officers

Steve Wilhite – President
10350 Ormsby Park Place, Suite 400, Louisville, KY 40223

Stephanie Lucien – ESS Finance VP
35 rue Joseph Monier, Rueil Malmaison, Hauts de Seine, France 92500

William Brewer - Head of Sustainability Business, Americas
10350 Ormsby Park Place, Suite 400, Louisville, KY 40223

Kevin Whaites – VP Global Operations, Managed Service
Salmon Fields Business Village, Oldham, Manchester, UK

Robert Murray - Vice President
200 N Martingale, Suite 1000 & 1100, Schaumburg, IL 60173

James Danley – Treasurer
1650 W Crosby Rd, Carrollton, TX 75006

Mary Kibble - Secretary
132 Fairgrounds Rd, West Kingston, RI 02892

Michele Drechsel - Assistant Treasurer & Assistant Secretary
10350 Ormsby Park Place, Suite 400, Louisville, KY 40223

Victor Copeland – Assistant Secretary
200 N Martingale, Suite 1000 & 1100, Schaumburg, IL 60173

State of New Hampshire

Department of State

CERTIFICATE

I, David M. Scanlan, Secretary of State of the State of New Hampshire, do hereby certify that SUMMIT ENERGY SERVICES, INC. is a Delaware Profit Corporation registered to transact business in New Hampshire on December 01, 2010. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: **639502**

Certificate Number: **0006252726**



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 26th day of June A.D. 2023.

A handwritten signature in black ink, appearing to read "David M. Scanlan".

David M. Scanlan
Secretary of State

Business Information

Business Details

| | | | |
|---------------------------------------|--|---------------------------------|--|
| Business Name: | SUMMIT ENERGY SERVICES, I NC. | Business ID: | 639502 |
| Business Type: | Foreign Profit Corporation | Business Status: | Good Standing |
| Business Creation Date: | 12/01/2010 | Name in State of Incorporation: | SUMMIT ENERGY SERVICES, INC. |
| Date of Formation in Jurisdiction: | 12/01/2010 | | |
| Principal Office Address: | 10350 Ormsby Park Place Suite e 400, Louisville, KY, 40223, U SA | Mailing Address: | 10350 Ormsby Park Place Suite e 400, Louisville, KY, 40223, US A |
| Citizenship / State of Incorporation: | Foreign/Delaware | | |
| | | Last Annual Report Year: | 2023 |
| | | Next Report Year: | 2024 |

| | |
|-----------------------|-------------------------|
| Duration: | Perpetual |
| Business Email: | jonathan.zanetti@se.com |
| Phone #: | 502-429-3800 |
| Notification Email: | jonathan.zanetti@se.com |
| Fiscal Year End Date: | NONE |

Notes

N/A

Remarks

N/A

Principal Purpose

| S.No | NAICS Code | NAICS Subcode |
|------|--|---------------|
| 1 | OTHER / Energy consulting and related management services. | |

Principals Information

| Name/Title | Business Address |
|----------------------------------|--|
| Steve Wilhite / President | 10350 Ormsby Park Place Ste 400, Louisville, KY, 40223, USA |
| Michele Drechsel / Other Officer | 10350 Ormsby Park Place, Ste 400, Louisville, KY, 40223, USA |
| Robert Murray / Vice President | 200 N Martingale Suite 1000 & 1100, Schaumburg, IL, 60173, USA |
| James Danley / Treasurer | 1650 W Crosby Rd, Carrollton, TX, 75006, USA |
| William Brewer / Vice President | 10350 Ormsby Park Place Suite 400, Louisville, KY, 40223, USA |

[< Previous](#)
 ...
1
2
3
 ...
[Next >](#)

• Page 1 of 3, records 1 to 5 of 11

• [Go to Page](#)

Principals Information

| Name/Title | Business Address: |
|---------------------------------|--|
| Steve Wilhite / President | 10350 Ormsby Park Place Ste 400, Louisville, KY, 40223, USA |
| Robert Murray / Vice President | 200 N Martingale Suite 1000 & 1100, Schaumburg, IL, 60173, USA |
| William Brewer / Vice President | 10350 Ormsby Park Place Suite 400, Louisville, KY, 40223, USA |

[View All Principals\(11\)](#)

Registered Agent Information

Name: CORPORATION SERVICE COMPANY

Registered Office 10 Ferry Street S313, Concord, NH, 03301, USA

Address:

Registered Mailing 10 Ferry Street S313, Concord, NH, 03301, USA

Address:

Trade Name Information

| Business Name | Business ID | Business Status |
|--------------------|-------------|-----------------|
| SCHNEIDER ELECTRIC | 804715 | Active |

Trade Name Owned By

| Name | Title | Address |
|------|-------|---------|
|------|-------|---------|

Trademark Information

| Trademark Number | Trademark Name | Business Address | Mailing Address |
|------------------|----------------|------------------|-----------------|
|------------------|----------------|------------------|-----------------|

No records to view.

NH Department of State, 107 North Main St. Room 204, Concord, NH 03301 -- [Contact Us](#)

© 2022 State of New Hampshire.

close



Please wait...

In January 2015, Summit Energy Services, Inc. (Summit) was ordered by the Commodity Futures Trading Commission (CFTC) to pay a \$140,000 civil monetary penalty for acting as an unregistered Commodity Trading Advisor for a period of time from October 2012 through September 25, 2014. This arose as the result of Summit working with the CFTC to ensure all reporting requirements were met.

Currently, Summit is now registered as a Commodity Trading Advisor (CTA) with the CFTC and are in full compliance with the requirements to provide financial hedging and risk management services to clients, and there will be no impact to operations or customers. Even before the CFTC's inquiry, Summit was working through the requirements to meet these new regulations, including obtaining the necessary certifications to provide financial hedging and risk management services to our clients. This situation has been fully resolved, and does not impact any of our operations or our services, past or future. Furthermore, there are no implications for our clients, their businesses, or their interactions with Summit.

Information regarding this can be found in CFTC Docket No. 15-12

| <u>State:</u> | <u>License No:</u> | <u>Business Activity:</u> | <u>Status:</u> |
|----------------------|------------------------------------|----------------------------------|-----------------------|
| Delaware | 13-378 | Energy Agent | Active |
| District of Columbia | EA 2013-20-7, GA 2013-13-4 | Energy Agent | Active |
| Connecticut | 23-05-20 | Aggregator | Active |
| Illinois | 10-0241 | EP Broker | Active |
| Maine | 2006-318 | Energy Agent | Active |
| Maryland | IR-1396, IR-3249 | Energy Agent | Active |
| Massachusetts | RA-104, EB-149 | EP Broker | Active |
| New Hampshire | DM 15-280, DM 18- 150 | Electric Aggregation Ser | Active |
| New Jersey | EA-0422 | Energy Agent | Active |
| Ontario | ER-2022-0294 | | Active |
| Pennsylvania | A-2015-2489074, A- 2013-2389017 | EP Generation Supplier | Active |
| Rhode Island | D-96-6 (O6), 2379(D3) | | Active |
| Texas | 80292; BR190102 | Electric Aggregation Ser | Active |
| Virginia | A-66 | | Active |
| Ohio | 10-214E (6), 17- 629G(2) | EP/NG Broker | Active |

PUC 3006.02(K)

Summit Energy does not act as an agent for any third-party supplier. Summit Energy acts as an independent consultant for the customer only, providing supplier full disclosure offer summaries for the customer to make their own informed decision on who to contract with. Summit Energy interacts with Direct Energy and Sprague Energy; however, it is the customer who signs contracts directly with these suppliers.