

Attachment 5 Stats on Compliance Actions CY2021 NH Progress Report

Type	NH PUC Compliance Identifier	Date Issued	Date Closed/ Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs ¹	State/Federal Code Section ²
NOA	PS2101LU	2/22/2021	4/1/2021	192.631(a)(2)	\$0	\$0	0	24
				192.631(b)(2)				
				192.631(b)(3)				
				192.631(b)(5)				
				192.631(c)(1)				
				192.631(c)(2)				
				192.631(c)(3)				
				192.631(c)(4)				
				192.631(d)				
				192.631(d)(2)				
				192.631(d)(3)				
				192.631(e)(1)				
				192.631(e)(2)				
				192.631(e)(3)				
				192.631(e)(4)				
				192.631(e)(5)				
				192.631(e)(6)				
				192.631(h)				
				192.631(h)(1)				
				192.631(h)(2)				
192.631(h)(6)								
192.631(i)								
192.631(j)(1)								
192.631(j)(2)								
NOPV	PS2102LU	4/30/2021	5/27/2021	193.2017(a)	\$135,750	\$135,750	17	17
				193.2017(c)(2)				
				193.2503(a)				
				193.2503(c)				
				193.2503(e)				
				193.2503(g)				
				193.2509(a)				
				193.2509(b)(2)				
				193.2513(a)				
				193.2513(b)(4)				
				193.2513(c)				
				193.2521				
				193.2605(a)				
				193.2605(b)(2)				
				193.2635(d)				
193.2639(a)								
193.2903(a)								
NOPV	PS2103LU	6/4/2021	6/24/2021	192.605(b)(3)	\$27,000	\$27,000	6	6
				192.727(b)				
				Puc 506.02(j)				
				192.603(b)				
				192.603(a)				
192.13(c)								
LOC	PS2101LP	5/17/2021	5/27/2021	NFPA 58 Chapter 6.4.5.2	\$0	\$0	0	3
				NFPA 58 Chapter 6.7.2.4				
				192.479				
Subtotal Count excluding Previous Years				\$162,750	\$162,750	23	50	
CARRY OVERS FROM PREVIOUS YEARS								
Subtotal Count including Previous Years				\$162,750	\$162,750	23	50	
Probable Violations Carried over from Previous CY 2019 and prior CYs (including carryover and long term)							0	
Probable Violations Number Found During CY 2020:							23	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2020 including carryover from Previous year:							23	
Probable Violations Number to be corrected at end of CY 2020 (including carryover and long-term) :							0	
Number of Compliance Actions							4	
Civil Penalties								
Number of Civil Penalties Assessed during Calendar Year							23	
Civil Penalties Amt Assessed during Calendar Year							\$162,750	
Number of Civil Penalties Collected during Calendar Year							23	
Dollars Collected during Calendar Year							\$162,750	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
¹ PV's include only those listed in NOPV's, NOV's or COV's								
² State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								