

**Attachment 5 Stats on Compliance Actions CY2020 NH Progress Report**

Type	NH PUC Compliance Identifier	Date Issued	Date Closed/ Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs <sup>1</sup>	State/Federal Code Section <sup>2</sup>
NOPV	PS2001LU	5/15/2020	6/15/2020	193.2635(d)	\$64,500	\$64,500	7	7
				193.2639(a)				
				193.2605(a)				
				193.2605(b)(2)				
				193.2017(c)				
				193.2903(a)				
193.2503(a)								
NOPV	PS2002LU	5/21/2020	6/15/2020	192.353(a)	\$10,500	\$10,500	4	4
				192.605(a)				
				192.603(a)				
				192.13(c)				
LOC	PS2001LP	10/7/2020	10/26/2020	192.353(a)	\$0	\$0	0	2
				192.357(a)				
LOC	PS2002LP	10/8/2020	10/16/2020	NFPA 58 (2004) Chapter 5.7.11.4 (C)	\$0	\$0	0	1
NOPV	PS2005LU	7/8/2020	8/5/2020	192.727(b)	\$23,500	\$23,500	6	6
				192.605(b)(3)				
				Puc 506.02(j)				
				192.603(b)				
				192.603(a)				
192.13(c)								
LOC	PS2006LU	7/9/2020	8/5/2020	Puc 506.01(n)	\$0	\$0	0	3
				Puc 506.01(q)				
				Puc 506.01(s)				
NOPV	PS2007LU	7/13/2020	8/5/2020	192.203(b)(9)	\$77,500	\$77,500	2	2
				192.13(c)				
Subtotal Count excluding Previous Years					\$176,000	\$176,000	19	25
<b>CARRY OVERS FROM PREVIOUS YEARS</b>								
NOPV	PS1905LU	11/26/2019	1/9/2020	192.727 (b)	\$17,500	\$17,500	7	7
				192.605 (b)(3)				
				192.605 (a)				
				192.603 (b)				
				192.614 (c) (6)				
				192.603 (a)				
192.13 (c)								
NOPV	PS1906LU	11/26/2019	1/9/2020	192.727 (b)	\$14,500	\$14,500	6	6
				192.605(a)				
				Puc 506.02 (j)				
				192.603 (a)				
				192.603 (b)				
192.13 (c)								
NOPV	PS1907LU	11/26/2019	1/9/2020	192.605 (a)	\$10,000	\$10,000	3	3
				192.603 (a)				
				192.13 (c)				
Subtotal Count including Previous Years					\$218,000	\$218,000	35	41
Probable Violations Carried over from Previous CY 2019 and prior CYs (including carryover and long term)							16	
Probable Violations Number Found During CY 2020:							19	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2020 including carryover from Previous year:							35	
Probable Violations Number to be corrected at end of CY 2020 (including carryover and long-term) :							0	
Number of Compliance Actions							7	
<b>Civil Penalties</b>								
Number of Civil Penalties Assessed during Calendar Year							19	
Civil Penalties Amt Assessed during Calendar Year							\$176,000	
Number of Civil Penalties Collected during Calendar Year							35	
Dollars Collected during Calendar Year							\$218,000	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
<sup>1</sup> PV's include only those listed in NOPV's, NOV's or COV's								
<sup>2</sup> State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								