

Attachment 5 Stats on Compliance Actions CY2019 NH Progress Report

Type	NH PUC Compliance Identifier	Date Issued	Date Closed/ Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs ¹	State/Federal Code Section ²
NOPV	PS1901LU	4/24/2019	5/30/2019	192.1003	\$78,000	\$78,000	6	6
				192.1007 (a)(4)				
				192.1007 (b)				
				192.1007 (c)				
				192.1009				
				192.13(c)				
NOPV	PS1902LU	5/16/2019	6/20/2019	191.17(a)	\$3,000	\$3,000	3	3
				191.17(b)				
				193.2011				
NOPV	PS1903LU	6/13/2019	7/12/2019	Puc 504.05 (c)	\$3,500	\$3,500	1	1
LOC	PS1904LU	7/15/2019	7/25/2019	192.13 (c)	\$0	\$0	0	2
				192.605(a)				
NOPV	PS1905LU	11/26/2019		192.727 (b)	\$17,500		7	7
				192.605 (b)(3)				
				192.605 (a)				
				192.603 (b)				
				192.614 (c) (6)				
				192.603 (a)				
				192.13 (c)				
NOPV	PS1906LU	11/26/2019		192.727 (b)	\$14,500		6	6
				192.605(a)				
				Puc 506.02 (j)				
				192.603 (a)				
				192.603 (b)				
				192.13 (c)				
NOPV	PS1907LU	11/26/2019		192.605 (a)	\$10,000		3	3
				192.603 (a)				
				192.13 (c)				
Subtotal Count excluding Previous Years					\$126,500	\$84,500	26	28
CARRY OVERS FROM PREVIOUS YEARS								
NOPV	PS1803LU	12/7/2018	1/7/2019	Puc 504.05 (c)	\$74,000	\$74,000	4	4
				Puc 506.01(n)				
				192.619(a)				
				192.703(b)				
Subtotal Count including Previous Years					\$200,500	\$158,500	30	32
Probable Violations Carried over from Previous CY 2018 and prior CYs (including carryover and long term)							4	
Probable Violations Number Found During CY 2019:							26	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2019 including carryover from Previous year:							14	
Probable Violations Number to be corrected at end of CY 2019 (including carryover and long-term) :							16	
Number of Compliance Actions							7	
Civil Penalties								
Number of Civil Penalties Assessed during Calendar Year							26	
Civil Penalties Amt Assessed during Calendar Year							\$126,500	
Number of Civil Penalties Collected during Calendar Year							30	
Dollars Collected during Calendar Year							\$158,500	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
¹ PV's include only those listed in NOPV's, NOV's or COV's								
² State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								