

Attachment 5 Stats on Compliance Actions CY2018 NH Progress Report

Type	NH PUC Compliance Identifier	Date Issued	Date Closed/ Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs ¹	State/Federal Code Section ²
NOPV	PS1801LU	1/26/2018	2/21/2018	192.805 (b)	\$27,000	\$27,000	3	3
				192.807 (a) (1)				
				192.805 (b)				
NOPV	PS1802LU	11/20/2018	12/26/2018	192.361	\$15,000	\$15,000	2	2
				192.605(a)				
NOPV	PS1803LU	12/7/2018	12/17/2018	Puc 504.05 (c)	\$74,000	\$74,000	4	4
				Puc 506.01(n)				
				192.619(a)				
				192.703(b)				
NOPV	PS1801LP	4/6/2018	6/6/2018	192.465	\$10,400	\$10,400	5	5
				192.723				
				192.739				
				192.747				
				192.605				
NOPV	PS1802LP	4/16/2018	6/6/2018	Puc 512.08 (g)	\$13,000	\$13,000	2	2
				192.605				
NOPV	PS1803LP	5/14/2018	5/29/2018	192.465(a)	\$3,300	\$3,300	7	7
				192.723(b)(1)				
				192.723(a)				
				192.747(a)				
				Puc 512.08(h)				
				192.616(j)				
192.603(b)								
LOC	PS1804LP	6/20/2018	7/11/2018	192.605	\$0	\$0	0	7
				192.623				
				Puc 512.08(h)				
				192.703				
				192.739				
				192.616(j)				
192.747								
NOPV	PS1805LP	7/10/2018	8/8/2018	192.723(b)(1)	\$3,750	\$3,750	6	6
				192.739(a)				
				192.747(a)				
				192.805(b)				
				192.605(a)				
				192.703(a)				
Subtotal Count excluding Previous Years					\$146,450	\$146,450	29	36
CARRY OVERS FROM PREVIOUS YEARS								
NOPV	PS1710LU	12/29/2017	2/5/2018	192.13 (c)	\$20,000	\$20,000	1	1
Subtotal Count including Previous Years					\$166,450	\$166,450	30	37
Probable Violations Carried over from Previous CY 2017 and prior CYs (including carryover and long term)							1	
Probable Violations Number Found During CY 2018:							29	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2018 including carryover from Previous year:							30	
Probable Violations Number to be corrected at end of CY 2018 (including carryover and long-term) :							0	
Number of Compliance Actions							8	
Civil Penalties								
Number of Civil Penalties Assessed during Calendar Year							29	
Civil Penalties Amt Assessed during Calendar Year							\$146,450	
Number of Civil Penalties Collected during Calendar Year							30	
Dollars Collected during Calendar Year							\$166,450	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			

¹ PV's include only those listed in NOPV's, NOV's or COV's

² State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's