

Attachment 5 Stats on Compliance Actions CY2017 NH Progress Report								
Type	NH PUC Compliance Identifier	Date Issued	Date Closed/ Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs ¹	State/Federal Code Section ²
NOPV	PS1701LU	3/3/2017	5/3/2017	192.605 (a)	\$14,000	\$14,000	5	5
				192.603 (a)				
				192.143 (a)				
				192.159				
				192.13 (a)(1)				
NOPV	PS1702LU	3/3/2017	4/4/2017	Puc 504.05(c)	\$5,000	\$5,000	5	5
				Puc504.07 (b)(2)				
				192.605 (a)				
				192.605 (e)				
				192.615 (a) (11)				
NOPV	PS1703LU	3/3/2017	4/4/2017	192.605	\$8,000	\$8,000	3	3
				Puc 504.05(c)				
				192.623				
LOC	PS1704LU	3/15/2017	6/26/2017	199.115 (a)	\$0	\$0	0	1
NOPV	PS1705LU	4/10/2017	5/19/2017	Puc 508.04 (m) (2)	\$14,600	\$14,600	1	1
NOPV	PS1706LU	4/13/2017	5/19/2017	Puc 506.02 (n) (6)	\$19,000	\$19,000	8	8
				Puc 506.01 (k)				
				Puc 506.01 (l)				
				Puc 506.02 (u)				
				192.605(a)				
				192.603(a)				
				192.603(b)				
192.13(c)								
NOPV	PS1707LU	7/28/2017	8/28/2017	Puc 508.04 (f)	\$40,500	\$40,500	9	9
				192.721 (b) (1)				
				192.721 (b)(2)				
				192.605 (a)				
				Puc 508.04 (m)(2)(h)				
				192.605 (b)(3)				
				192.703 (a)				
				192.603 (a)				
192.13(c)								
NOPV	PS1708LU	8/8/2017	8/28/2017	192.383 (b) (5)	\$4,000	\$4,000	3	3
				192.13 (a)(1)				
				192.13 (c)				
NOPV	PS1709LU	9/5/2017	10/6/2017	192.727(b)	\$28,000	\$28,000	7	7
				192.703(a)				
				192.13(c)				
				192.605(a)				
				192.603(b)				
				192.605(b)(3)				
192.603(a)								
NOPV	PS1710LU	12/29/2017		192.13 (c)	\$20,000		1	1
NOV	PS1701LP	4/14/2017	4/18/2017	192.465 (a)	\$4,500	\$4,500	5	5
				192.723 (b)(1)				
				192.739 (a)				
				192.747 (a)				
				192.605 (a)				
LOC	PS1702LP	3/9/2017	4/19/2017	192.703	\$0	\$0	0	2
LOC	PS1703LP	5/5/2017	5/31/2017	192.703	\$0	\$0	0	2
NOV	PS1704LP	6/5/2017	6/7/2017	192.465 (a)	\$7,000	\$7,000	5	5
				192.723 (b)(1)				
				192.739 (a)				
				192.747 (a)				
				192.605 (a)				
Subtotal Count excluding Previous Years					\$164,600	\$144,600	52	57
CARRY OVERS FROM PREVIOUS YEARS								
NOPV	PS1201NU	1/2/2013	6/30/2017	192.353	\$4,000	\$0	2	2
				192.605				
NOPV	PS1202NU	1/2/2013	6/30/2017	192.605	\$3,000	\$0	1	1
Subtotal Count including Previous Years					\$171,600	\$144,600	55	60
Probable Violations Carried over from Previous CY 2016 and prior CYs (including carryover and long term)							3	
Probable Violations Number Found During CY 2017:							52	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2017 including carryover from Previous year:							54	
Probable Violations Number to be corrected at end of CY 2017 (including carryover and long- term) :							1	
Number of Compliance Actions							14	
Civil Penalties								
Number of Civil Penalties Assessed during Calendar Year							52	
Civil Penalties Amt Assessed during Calendar Year							\$164,600	
Number of Civil Penalties Collected during Calendar Year							55	
Dollars Collected during Calendar Year							\$144,600	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
¹ PV's include only those listed in NOPV's, NOV's or COV's								
² State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								