

Attachment 5 Stats on Compliance Actions CY2016 NH Progress Report								
Type	NH PUC Compliance Identifier	Date Issued	Date Closed/Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs ¹	State/Federal Code Section ²
NOPV	PS1601NU	4/8/2016	4/19/2016	192.513	\$38,000	\$38,000	6	6
				192.503				
				192.619				
				192.605				
				192.603				
192.13								
NOV	PS1602NU	7/26/2016	8/4/2016	192.553	\$52,000	\$52,000	6	6
				192.557				
				192.605				
				192.619				
				192.603				
192.13								
NOPV	PS1603NU	1/14/2016	4/19/2016	192.13 Puc 506.02 (r) (5)	\$4,000	\$4,000	2	2
NOPV	PS1604NU	1/14/2016	2/16/2016	Puc 508.03 (b)	\$7,500	\$7,500	3	3
				Puc 504.06 (a) (1)				
				191.9				
NOPV	PS1601LU	3/10/2016	3/24/2016	192.613	\$4,500	\$4,500	3	3
				192.605				
				192.603				
NOPV	PS1602LU	3/4/2016	3/24/2016	NFPA 59 Section 5.7.1	\$5,000	\$5,000	3	3
				NFPA 59 Section 5.9.4.3				
				192.603*				
NOPV	PS1603LU	3/3/2016	3/24/2016	193.2445	\$9,000	\$9,000	4	4
				193.2605				
				193.2613				
				193.2603				
NOV	PS1601LP	3/3/2016	3/10/2016	192.465	\$14,100	\$14,100	8	8
				192.723				
				192.739				
				192.747				
				192.605				
				192.603				
				192.703				
192.13								
LOC	PS1602LP	3/2/2016	4/12/2016	192.703 192.605	\$0	\$0	0	2
NOPV	PS1603LP	3/2/2016	4/6/2016	192.465	\$1,300	\$1,300	5	5
				192.723				
				192.739				
				192.747				
				192.605				
LOC	PS1604LP	3/9/2016	4/8/2016	192.625 Puc 512.08 (g)	\$0	\$0	0	2
LOC	PS1605LP	8/9/2016	8/23/2016	192.703 192.605	\$0	\$0	0	2
Subtotal Count excluding Previous Years					\$135,400	\$135,400	40	46
CARRY OVERS FROM PREVIOUS YEARS								
NOPV	PS1201NU	1/2/2013	Open as of 12/31/2016	192.353	\$4,000	\$0	2	2
				192.605				
NOPV	PS1202NU	1/2/2013	Open as of 12/31/2016	192.605	\$3,000	\$0	1	1
COV	PS1501NU	1/26/2015	2/29/2016	192.619	\$17,500	\$17,500	2	2
				192.195				
COV	PS1502NU	1/26/2015	2/29/2016	192.619	\$12,500	\$12,500	2	2
				192.195				
Subtotal Count including Previous Years					\$142,400	\$165,400	47	53
Probable Violations Carried over from Previous CY 2015 and prior CYs (including carryover and long term)							7	
Probable Violations Number Found During CY 2016:							40	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2016 including carryover from Previous year:							44	
Probable Violations Number to be corrected at end of CY 2016 (including carryover and long-term):							3	
Number of Compliance Actions							12	
Civil Penalties								
Number of Civil Penalties Assessed during Calendar Year							40	
Civil Penalties Amt Assessed during Calendar Year							\$135,400	
Number of Civil Penalties Collected during Calendar Year							44	
Dollars Collected during Calendar Year							\$165,400	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
¹ PV's include only those listed in NOPV's, NOV's or COV's								
² State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								