

### Attachment 5 Stats on Compliance Actions CY2015 NH Progress Report

Type	NH PUC Compliance Identifier	Date Issued	Date Closed/ Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs <sup>1</sup>	State/Federal Code Section <sup>2</sup>
COV	PS1501NU	1/26/2015		192.619	\$17,500	\$0	2	2
				192.195				
COV	PS1502NU	1/26/2015		192.619	\$12,500	\$0	2	2
				192.195				
NOA	PS1503NU	1/23/2015	7/24/2015	192.807	\$0	\$0	0	2
				192.803				
LOC	PS1504NU	1/26/2015	2/27/2015	192.181	\$0	\$0	0	1
NOPV	<a href="#">PS1501LU</a>	1/23/2015	2/23/2015	192.723	\$16,000	\$16,000	4	4
				192.605				
				192.603				
				192.481				
NOPV	<a href="#">PS1502LU</a>	1/23/2015	2/24/2015	192.723	\$11,500	\$11,500	3	3
				192.605				
				192.603				
NOA	PS1503LU	1/23/2015	5/19/2015	192.807	\$0	\$0	0	2
				192.803				
NOPV	<a href="#">PS1504LU</a>	1/21/2015	2/23/2015	192.631 (a)	\$4,000	\$4,000	2	2
				192.631 (d)				
NOA	PS1505LU	1/26/2015	4/9/2015	192.631(a)	\$0	\$0	0	3
				192.631(d)				
				192.631(j)				
Subtotal Count excluding Previous Years					\$61,500	\$31,500	13	21
<b>CARRY OVERS FROM PREVIOUS YEARS</b>								
LOC	PS1401LP	1/28/2014	2/26/2015	192.703	\$0	\$0	0	2
				192.605				
LOC	PS1402LP	1/31/2014	2/26/2015	192.703	\$0	\$0	0	2
				192.605				
NOPV	PS1201NU	1/2/2013	Open as of 12/31/2015	192.353	\$4,000	\$0	2	2
				192.605				
NOPV	PS1202NU	1/2/2013	Open as of 12/31/2015	192.605	\$3,000	\$0	1	1
Subtotal Count including Previous Years					\$68,500	\$31,500	16	28
Probable Violations Carried over from Previous CY 2014 and prior CYs (including carryover and long term)							<b>3</b>	
Probable Violations Number Found During CY 2015:							<b>13</b>	
Probable Violation Number Submitted for DOT Action:							<b>0</b>	
Probable Violations Number Corrected during CY 2015 including carryover from Previous year:							<b>9</b>	
Probable Violations Number to be corrected at end of CY 2015 (including carryover and long- term) :							<b>7</b>	
Number of Compliance Actions							<b>9</b>	
<b>Civil Penalties</b>								
Number of Civil Penalties <b>Assessed</b> during Calendar Year							<b>13</b>	
Civil Penalties Amt <b>Assessed</b> during Calendar Year							<b>\$61,500</b>	
Number of Civil Penalties <b>Collected</b> during Calendar Year							<b>13</b>	
Dollars <b>Collected</b> during Calendar Year							<b>\$31,500</b>	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
<sup>1</sup> PV's include only those listed in NOPV's, NOV's or COV's								
<sup>2</sup> State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								