

Attachment 5 Stats on Compliance Actions CY2014 NH Progress Report								
Type	NH PUC Compliance Identifier	Date Issued	Date Closed/Resolved	Non Compliant Code Section (s)	Dollars Assessed	Dollars Collected	PVs ¹	State/Federal Code Section ²
NOA	PS1401LU	2/24/2014	4/24/2014	192.1007 (a)	\$0	\$0	0	4
				192.1007 (c)				
				192.1007 (e)				
				192.1009				
NOPV	PS1402LU	7/29/2014	9/18/2014	192.619	\$40,000	\$36,250	6	6
				192.603				
				192.605				
				192.13				
				192.805				
				Puc 504.05				
NOPV	PS1401LP	3/24/2014	4/15/2014	192.465	\$4,000	\$4,000	5	5
				192.723				
				192.739				
				192.747				
				192.605				
LOC	PS1402LP	1/31/2014		192.703	\$0	\$0	0	2
				192.605				
LOC	PS1403LP	1/28/2014		192.703	\$0	\$0	0	2
				192.605				
NOPV	PS1401NU	5/5/2014	6/3/2014	192.747	\$7,500	\$7,500	2	2
				192.605				
Subtotal Count excluding Previous Years					\$51,500	\$47,750	13	21
CARRY OVERS FROM PREVIOUS YEARS								
NOPV	PS1201NU	1/2/2013	Open as of 12/31/2014	192.353	\$4,000	\$0	2	2
				192.605				
NOPV	PS1202NU	1/2/2013	Open as of 12/31/2014	192.605	\$3,000	\$0	1	1
NOV	PS1201LU	12/31/2012	5/13/2014	192.605	\$5,000	\$0	1	1
NOV	PS1202LU	12/31/2012	5/13/2014	192.605 (a)	\$7,500	\$0	1	1
NOV	PS1203LU	12/31/2012	5/13/2014	192.605	\$4,000	\$0	2	2
				192.353				
NOPV	PS1301LU	12/30/2013	2/20/2014	192.747	\$5,000	\$5,000	2	2
				192.605				
NOPV	PS1302LU	12/30/2013	3/10/2014	193.2903	\$15,000	\$13,000	3	3
				193.2605				
				193.2603				
NOV	PS1303LU	3/21/2014	5/19/2014	192.723	\$20,000	\$20,000	3	3
				192.605				
				Puc 506.02 (j)				
NOV	PS1304LU	3/21/2014	5/19/2014	192.1009	\$8,000	\$8,000	3	3
				192.1003 (a)				
				192.617				
NOV	PS1305LU	3/26/2014	5/19/2014	192.605	\$4,000	\$4,000	1	1
Subtotal Count including Previous Years					\$123,000	\$93,750	31	40
Probable Violations Carried over from Previous CY 2013 and prior CYs (including carryover and long term)							19	
Probable Violations Number Found During CY 2014:							13	
Probable Violation Number Submitted for DOT Action:							0	
Probable Violations Number Corrected during CY 2014 including carryover from Previous year:							29	
Probable Violations Number to be corrected at end of CY 2014 (including carryover and long-term):							3	
Number of Compliance Actions							6	
Civil Penalties								
Number of Civil Penalties Assessed during Calendar Year							13	
Civil Penalties Amt Assessed during Calendar Year							\$51,500	
Number of Civil Penalties Collected during Calendar Year							13	
Dollars Collected during Calendar Year							\$93,750	
NOPV	Notice of Probable Violation	LOC	Letter of Concern	PSXXYYNU	Northern Utilities			
NOV	Notice of Violation	NOA	Notice of Amendment	PSXXYYLU	Liberty Utilities			
COV	Commission Order of Violation			PSXXYYLP	Various Propane Companies			
¹ PV's include only those listed in NOPV's, NOV's or COV's								
² State and Federal code sections include those in NOPV's, NOV's, COV's, NOA's, LOC's								