New Hampshire Department of Energy 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-3670 www.energy.nh.gov

Electric Load Aggregation Application Form
This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

		Applicant's General Information
Puc 2006.02(a)	Legal Name	Legend Energy Advisors, LLC
	Trade Name (d/b/a) (if applicable)	n.a
Puc 2006.02(b)	Business Mailing Address	2200 Post Oak Blvd. Ste 1000
	g, i.u.a. occ	Houston, Texas 77056
	Telephone Number	281-310-8554
	E-Mail Address	licensing@legendea.com
	Website Address (if applicable)	www.legendenergyadvisors.com
Puc 2006.02(c)	Provide the name(s), title(s), b	usiness address(es), telephone number(s), and e-mail address(es) of the applicant, if an principal(s), if the applicant is anything other than an individual. Use additional sheets if ace provided on the form.
	Name	Daniel Crosby
	Title	CEO
	Business Mailing Address	2200 Post Oak Blvd. STE 1000,
		Houston, TX 77056
	Telephone Number	212-924-8525
	E-Mail Address	dcrosby@legendea.com
		<u> </u>
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	Email Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

^{1. &}quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

	Customer Service Contact				
Puc 2006.02(d)	Name	Matthew Fair,			
	Title	Director.			
	Telephone Number	214.396.9300,			
	Toll-Free Telephone Number (if available)				
	E-Mail Address	licensing@legendea.com			

		Customer Complaints Contact
Puc 2006.02(e)(1)	Name	Matthew Fair,
	Title	Director,
	Business Mailing Address	2200 Post Oak Blvd. STE 1000, Houston, TX 77056
	Telephone Number	214.396.9300,
	E-Mail Address	licensing@legendea.com

Regulatory Compliance Matters Contact				
Puc 2006.02(e)(2)	Name	Matthew Fair,		
	Title	Director,		
	Business Mailing Address	2200 Post Oak Blvd. STE 1000, Houston, TX 77056		
	Telephone Number	214.396.9300,		
	E-Mail Address	mfair@legendea.com		

	Department of Energy Assessment Payments Contact				
Puc 2006.02(e)(3)	Name	Matthew Fair,			
	Title	Director,			
	Business Mailing Address	2200 Post Oak Blvd. STE 1000, Houston, TX 77056			
	Telephone Number	214.396.9300,			
	E-Mail Address	mfair@legendea.com			

	Separate Attachments: Business Authority and Trade Name			
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; (2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.			
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.			

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	Statements Regarding Applicant and its Principals	
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	NO
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	NO
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	NO
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	NO
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	NO
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	n/a

	Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	ATTACHED	

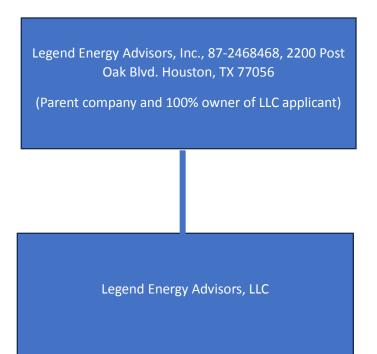
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	NO
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.	nal sheets if

	Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	RENEWAL /	11/1/2023

	Attestation and Signature				
Puc 2006.02(I) and (m)	By signing below, the applicant representative certifies that it has the authority to file the application on behalf of the aggregator and attests that the contents of the application are truthful, accurate, and complete. Signature of the applicant or its authorized representative Name: Daniel Crosby, Title: CEO	10/13/23 Date			

400	Filing Instructions		
	Mail an original and two paper copies of this form and all separate attachments to:		
	New Hampshire Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301		
	E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov		

Corporate Structure



10/19/23, 10:37 AM QuickStart



Business Information

Business Details

Business Name: LEGEND ENERGY ADVISORS LLC Business Type: Foreign Limited Liability Company

Business Creation Date: 01/15/2016

Principal Office Address: 16 East 23rd Street, New York, NY, 10010, USA

Citizenship / State of Formation: Foreign/Wyoming

Date of Formation in Jurisdiction: 01/15/2016

Business ID: 737391

Business Status: Good Standing

Name in State of LEGEND ENERGY ADVISORS LLC

Formation:

Mailing Address: 16 East 23rd Street, New York, NY, 10010, USA

Last Annual Report Year: 2023 Next Report Year: 2024

Duration: Perpetual

Business Email: lcarro@legendea.com

Phone #: 646-604-2788

Notification Email: Icarro@legendea.com Fiscal Year End Date: NONE

Principal Purpose

S.No **NAICS Code NAICS Subcode**

OTHER / Energy advisory services including efficiency enhancement and 1 brokerage services.

Page 1 of 1, records 1 to 1 of 1

Principals Information

Name/Title **Business Address**

Daniel Crosby / Director 16 East 23rd Street, New York, NY, 10010, USA

Page 1 of 1, records 1 to 1 of 1

Registered Agent Information

Name: INCORP SERVICES, INC.

Registered Office Address: 152 S Mast Street, Goffstown, NH, 03045, USA Registered Mailing Address: 152 S Mast Street, Goffstown, NH, 03045, USA

Trade Name Information

No Trade Name(s) associated to this business.

Trade Name Owned By

No Records to View.

Trademark Information

Trademark Number Trademark Name Business Address Mailing Address

No records to view.

10/19/23, 10:37 AM QuickStart

Filing History Address History View All Other Addresses Name History Shares Businesses Linked to Registered Agent

Return to Search Back

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STATE OF WYOMING Office of the Secretary of State

I, CHUCK GRAY, Secretary of State of the State of Wyoming, do hereby certify that according to the records of this office,

Legend Energy Advisors LLC

is a

Limited Liability Company

formed or qualified under the laws of Wyoming did on **April 23, 2014**, comply with all applicable requirements of this office. Its period of duration is Perpetual. This entity has been assigned entity identification number **2014-000663449**.

This entity is in existence and in good standing in this office and has filed all annual reports and paid all annual license taxes to date, or is not yet required to file such annual reports; and has not filed Articles of Dissolution.

I have affixed hereto the Great Seal of the State of Wyoming and duly generated, executed, authenticated, issued, delivered and communicated this official certificate at Cheyenne, Wyoming on this 13th day of July, 2023 at 2:32 PM. This certificate is assigned ID Number 062902422.

Secretary of State

Notice: A certificate issued electronically from the Wyoming Secretary of State's web site is immediately valid and effective. The validity of a certificate may be established by viewing the Certificate Confirmation screen of the Secretary of State's website https://wyobiz.wyo.gov and following the instructions displayed under Validate Certificate.



CONFIDENTIAL

Business Licenses Detail Report

Total Number of Licenses: 31

Entity	Jurisdiction	License	Number	Department	Fee	Frequency	Submit By	Renewal	Filed / Approved	Status	Client Notes
Legend Energy Advisors, LLC (DE)	State of Delaware	Electric Supplier License	22-0656	Delaware Public Service Commission						Complete	
Legend Energy Advisors, LLC (IL)	State of Illinois	Electric Energy Broker	Docket # 19-0775	Commerce Commission		Every Year	03/01/2024	03/31/2024	2/22/2023 4/17/2023	Complete	
Legend Energy Advisors, LLC (MA)	State of Massachusetts	Electric Energy Broker	EB-405	Department of Public Utilities	\$100.00	Every Year	07/01/2023	07/01/2023	6/29/2022 8/16/2022	Renewal Pending	
Legend Energy Advisors, LLC (MD)	State of Maryland	Electric Energy Broker	IR-3523	Public Service Commission		Every Year	04/30/2024	04/30/2024	5/31/2023 5/31/2023	Complete	
Legend Energy Advisors, LLC (ME)	State of Maine	Natural Gas Energy Broker	License numbers are not issued	Public Utilities Commission						Complete	
Legend Energy Advisors, LLC (ME)	State of Maine	Electric Energy Broker	2015-00361	Public Utilities Commission		Every Year	06/30/2024	07/01/2024	6/28/2023 6/28/2023	Complete	
Legend Energy Advisors, LLC (NJ)	State of New Jersey	Energy Agent	EA-0582	Board of Public Utilities	\$201.50	Every Year	02/01/2024	03/03/2024	2/14/2023 3/24/2023	Complete	
Legend Energy Advisors, LLC (OH)	State of Ohio	Electric Energy Broker License	14-846E	Public Utilities Commission		Every 2 Years	10/04/2024	11/26/2024	10/26/2022 11/28/2022	Complete	
Legend Energy Advisors, LLC (OH)	State of Ohio	Natural Gas Broker	18-633G	Public Utilities Commission		Every 2 Years	07/07/2025	08/07/2025	6/30/2023 8/10/2023	Complete	
Legend Energy Advisors, LLC (PA)	State of Pennsylvania	Electric Energy Broker	A-2021-3026759	Public Utility Commission		Every Year	04/30/2024	04/30/2024	5/1/2023 7/28/2023	Complete	
Legend Energy Advisors, LLC (PA)	State of Pennsylvania	Natural Gas Energy Broker	A-2015-2516042	Public Utility Commission		Every Year	04/30/2024	04/30/2024	5/1/2023 7/28/2023	Complete	
Legend Energy Advisors, LLC (TX)	State of Texas	Electric Energy Broker	BR190701	Public Utility Commission		Every 3 Years	04/01/2025	07/01/2025	6/29/2022 7/1/2022	Complete	