

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	
	Trade Name (d/b/a) (if applicable)	
Puc 2006.02(b)	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	Website Address (if applicable)	
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	<hr/>	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	Email Address	
	<hr/>	
	Name	
	Title	
	Business Mailing Address	
Telephone Number		
E-Mail Address		

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

Customer Service Contact		
Puc 2006.02(d)	Name	
	Title	
	Telephone Number	
	Toll-Free Telephone Number (if available)	
	E-Mail Address	

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

Department of Energy Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	


Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	<p>Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import;</p> <p>(2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. Please refer to Exhibit Puc 2006.02(f).</p>
Puc 2006.02(g)	<p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or Not applicable.</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.</p>

Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.	

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	_____ Date

Attestation and Signature		
Puc 2006.02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>_____ Signature of the applicant or its authorized representative</p> <p>Name: Miles Rice</p> <p>Title: COO</p>	<p>6-30-23 _____ Date</p>

Filing Instructions		
	<p>1) Mail an original and two paper copies of this form and all separate attachments to: New Hampshire Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov</p>	

MILES W. RICE
546 WESTLEY RD.
GLENCOE, IL 60022-1072

2-1
710 56084

209

DATE 6/27/23

PAY TO THE
ORDER OF

New Hampshire Public Utilities Commission \$125⁰⁰

One hundred and twenty five and ⁰⁰/₁₀₀

DOLLARS



Security Features
Included.
Details on Back.



JPMorgan Chase Bank, N.A.
www.Chase.com

Miles W. Rice

MEMO

MP

⑆071000013⑆

638197983⑆0209



Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name Energy CX, LLC	
	Trade Name (d/b/a) (if applicable) N/A	
Puc 2006.02(b)	Business Mailing Address 445 N. Wells St., Suite 304 Chicago, IL 60654	
	Telephone Number 833-998-0830	
	E-Mail Address team@energycx.com	
	Website Address (if applicable) www.energycx.com	
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name Mark Rice	
	Title President	
	Business Mailing Address 445 N. Wells St., Suite 304 Chicago, IL 60654	
	Telephone Number 847-509-2280	
	E-Mail Address mark@energycx.com	
	<hr/>	
	Name Miles Rice	
	Title COO	
	Business Mailing Address 445 N. Wells St., Suite 304 Chicago, IL 60654	
	Telephone Number 847-224-6906	
	Email Address miles@energycx.com	
	<hr/>	
	Name Ellen Rice	
	Title Vice President	
	Business Mailing Address 445 N. Wells St., Suite 304 Chicago, IL 60654	
Telephone Number 847-509-2290		
E-Mail Address ellen@energycx.com		

¹ "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	Ellen Rice
	Title	Vice President
	Telephone Number	847-509-2290
	Toll-Free Telephone Number (if available)	833-998-0830
	E-Mail Address	ellen@energycx.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Ellen Rice
	Title	Vice President
	Business Mailing Address	445 N. Wells St., Suite 304
		Chicago, IL 60654
	Telephone Number	847-509-2290
	E-Mail Address	ellen@energycx.com

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Mark Rice
	Title	President
	Business Mailing Address	445 N. Wells St., Suite 304
		Chicago, IL 60654
	Telephone Number	847-509-2290
	E-Mail Address	mark@energycx.com

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Joel Bimbaum
	Title	CFO
	Business Mailing Address	445 N. Wells St., Suite 304
		Chicago, IL 60654
	Telephone Number	847-509-2290
	E-Mail Address	joel.bimbaum@gmail.com

Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. Please refer to the Exhibit Puc 2006.02(f).
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or Not applicable. (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.


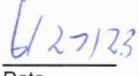


Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
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Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.		

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	Please refer to Exhibit Puc 2006.02(i).

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	Yes
If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.		

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	12/2021 Date

Attestation and Signature		
Puc 2006.02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: Miles Rice</p> <p>Title: COO</p>	 <p>Date</p>

Filing Instructions		
<p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: <u>Executive.Director@puc.nh.gov</u></p>		

EXHIBIT Puc 2006.02(f)

Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:

(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or

(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.

RESPONSE:

Please refer to the attached.



State of New Hampshire Department of State

Filed
Date Filed : 05/22/2019 12:02:00 PM
Effective Date : 05/22/2019 12:02:00 PM
Filing # : 4519017 Pages : 3
Business ID : 820099
William M. Gardner
Secretary of State
State of New Hampshire

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is:

ENERGY CX, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is:

ENERGY CX, LLC

Principal Business Information:

Principal Office Address:

3501 WOODHEAD DRIVE, #5 Northbrook IL 60062
(no. & street) (city/town) (state) (zip code)

Principal Mailing Address(if different):

3501 WOODHEAD DRIVE, #5 Northbrook IL 60062
(no. & street) (city/town) (state) (zip code)

Business Phone: NONE

Business Email: miles@energycx.com

Please check if you would prefer to receive the Annual Report Reminder Notice by email.

THIRD: It is formed under the laws of Illinois

FOURTH: The date of its formation is 04/29/2019

FIFTH: Describe the nature of the business or purposes to be conducted or promoted in New Hampshire (and if known, list the NAICS Code and Sub Code):

42-Wholesale Trade - 990-Other Miscellaneous Nondurable Goods Merchant Wholesalers

SIXTH: The name of its registered agent in New Hampshire is:

Unisearch, Inc. (728580)

The complete address of its registered office **IN NEW HAMPSHIRE** (agent's business address) is:

10 Ferry Street 313 Concord NH 03301
(no. & street) (city/town) (state) (zip code)

Manager/Member Information:

<u>Name</u>	<u>Title</u>	<u>Address</u>
MARK RICE	Manager	5601 WOODHEAD DR, #5, Northbrook, IL, 60062, USA

The period of its duration is: Not Stated

OTHER MATTERS (Attached)

Title: Manager
Signature: MARK RICE
Name of Signer: MARK RICE
Date signed: 05/22/2019
Effective Date: 05/22/2019 12:02:00 PM

Complete address of person signing: 3501 WOODHEAD DRIVE, #5, Northbrook, IL, 60062, USA

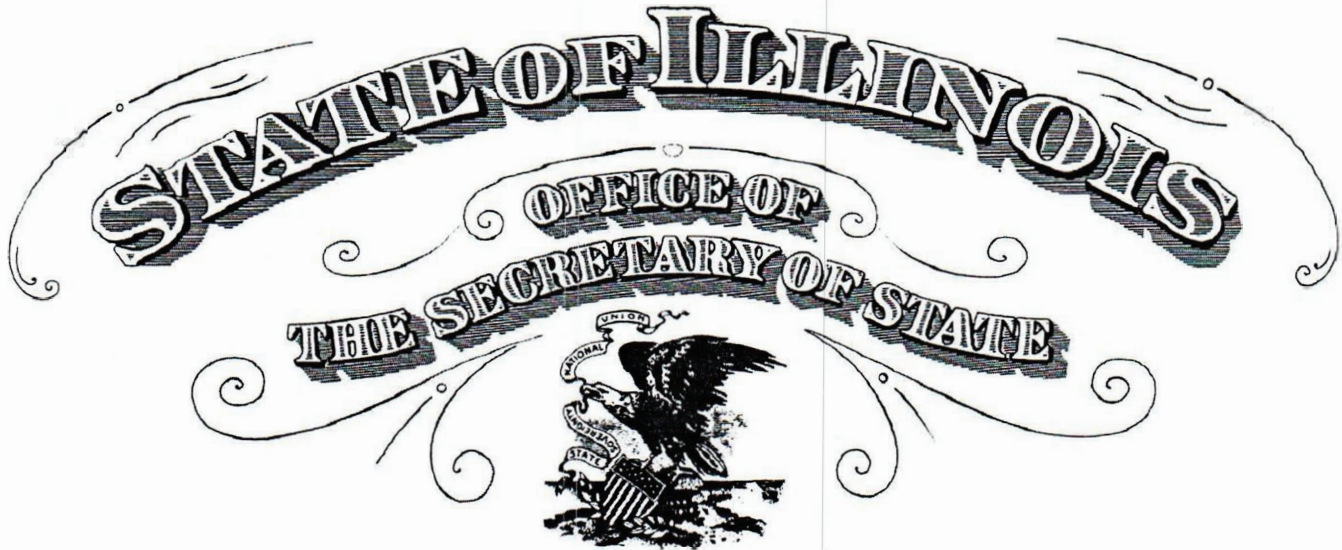
Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.

*Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

File Number

0758710-4



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that

ENERGY CX, LLC, HAVING ORGANIZED IN THE STATE OF ILLINOIS ON APRIL 29, 2019, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.



In Testimony Whereof, I hereto set
my hand and cause to be affixed the Great Seal of
the State of Illinois, this 21ST
day of MAY A.D. 2019 .

Jesse White

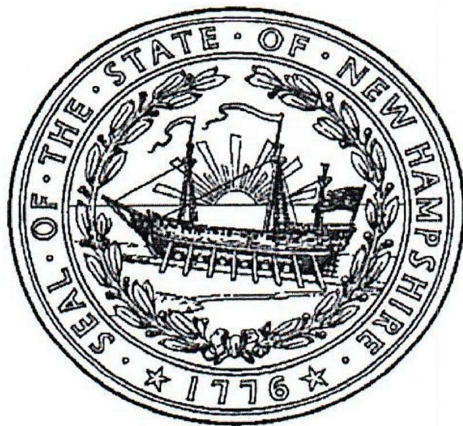
SECRETARY OF STATE

State of New Hampshire
Department of State

CERTIFICATE OF EXISTENCE
OF
ENERGY CX, LLC

This is to certify that **ENERGY CX, LLC** is registered in this office as a **Illinois Limited Liability Company** to transact business in New Hampshire on 5/22/2019 12:02:00 PM.

Business ID: **820099**



IN TESTIMONY WHEREOF,
I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 22nd day of May A.D. 2019

A handwritten signature in black ink, appearing to read "Wm Gardner".

William M. Gardner
Secretary of State

EXHIBIT Puc 2006.02(i)

Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.

RESPONSE:

Below is a list of other states or jurisdictions in which Energy CX, LLC currently conducts business relating to the aggregation of electric customers:

- DC
- IL
- MA
- MD
- NH
- NJ
- OH
- PA
- TX