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VIA FEDERAL EXPRESS AND ELECTRONIC MAIL

June 28, 2023

Executive Director
New Hampshire Public Utilities Commission
21 South Fruit Street, Suite 10
Concord, NH 03301-2429

RE: Gas Broker Renewal Application for Energy CX, LLC

Dear Executive Director:

Enclosed please find **one (1) original and two (2) copies** of Energy CX, LLC's Gas Broker Renewal Application. Separately, an electronic copy was also submitted to Executive.Director@puc.nh.gov.

If any additional information is required, please feel free to contact me at (718) 690-3480 or michellemann@feller.law.

Respectfully,

/s/ Michelle Mann

Michelle Mann

Legal Administrator

Director of Government Affairs & Regulatory
Compliance/Corporate Paralegal

Feller Law Group, PLLC

Phone: (718) 690-3480

Email: michellemann@feller.law

On behalf of Energy CX, LLC

Enclosures

MILES W. RICE
546 WESTLEY RD.
GLENCOE, IL 60022-1072

2-1
710 56084

211

DATE 6/27/23

PAY TO THE ORDER OF New Hampshire Public Utilities Commission \$ 125.00
One hundred twenty five and 00/100 DOLLARS

Security Features
Included.
Details on Back.

CHASE
JPMorgan Chase Bank, N.A.
www.Chase.com

Miles W. Rice

MEMO

⑆071000013⑆

638197983110211

Natural Gas Aggregation Application Form

This form may be used to: (1) apply for initial registration as a natural gas aggregator in New Hampshire, (2) apply for renewal of registration as natural gas aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 3006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input type="checkbox"/>		
Applicant's General Information		
Puc 3006.02(a)	Legal Name	
	Trade Name (d/b/a) (if applicable)	
Puc 3006.02(b)	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	Website Address (if applicable)	
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 3006.02(c)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	Email Address	
	Name	
	Title	
	Business Mailing Address	
Telephone Number		
E-Mail Address		

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

Customer Service Contact		
Puc 3006.02(d)	Name	
	Title	
	Telephone Number	
	Toll-Free Telephone Number (if available)	
	E-Mail Address	

Customer Complaints Contact		
Puc 3006.02(e)(1)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

Regulatory Compliance Matters Contact		
Puc 3006.02(e)(2)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

Department of Energy Assessment Payments Contact		
Puc 3006.02(e)(3)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

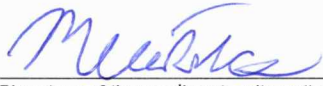
Separate Attachments: Business Authority and Trade Name	
Puc 3006.02(f)	<p>Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <ol style="list-style-type: none"> (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; (2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. Please refer to Exhibit Puc 3006.02(f).
Puc 3006.02(g)	<p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <ol style="list-style-type: none"> (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or Not applicable. (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.

Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 3006.02(i)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 3006.02(i)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 3006.02(i)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 3006.02(i)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 3006.02(i)(5)	Has applicant or any of its principals been denied authorization to provide competitive gas supply service or gas aggregation service in any other state or jurisdiction?	No
Puc 3006.02(j)	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

Other States		
Puc 3006.02(h)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of gas customers.	Please refer to Exhibit Puc 3006.02(i).

Statement Regarding Supplier Representation		
Puc 3006.02(k)	Please list the competitive natural gas suppliers (CNGS) in New Hampshire through which the applicant intends to provide service.	Liberty Utilities/ EnergyNorth Until/Northern Utilities, Inc.
Puc 3006.02 (l)	Please provide a statement that the applicant is not representing any supplier interest or provide a list of any supplier interests the applicant intends to represent.	Energy CX, LLC is not representing any supplier interest at this time.

Expected Marketing Start Date		
Puc 3006.02(m)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	6/2/21 Date

Attestation and Signature		
Puc 3006.02(n) and (o)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: Miles Rice</p> <p>Title: Chief Operating Officer</p>	<p>6/2/21 Date</p>

Filing Instructions	
<p>1) Mail an original and two paper copies of this form and all separate attachments to:</p> <p style="text-align: center;">New Hampshire Department of Energy 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov</p>	

EXHIBIT Puc 3006.02(f)

Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:

(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or

(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.

RESPONSE:

Please refer to the attached.



State of New Hampshire Department of State

Filed
Date Filed : 05/22/2019 12:02:00 PM
Effective Date : 05/22/2019 12:02:00 PM
Filing # : 4519017 Pages : 3
Business ID : 820099
William M. Gardner
Secretary of State
State of New Hampshire

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is:

ENERGY CX, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is:

ENERGY CX, LLC

Principal Business Information:

Principal Office Address:

3501 WOODHEAD DRIVE, #5 Northbrook IL 60062
(no. & street) (city/town) (state) (zip code)

Principal Mailing Address(if different):

3501 WOODHEAD DRIVE, #5 Northbrook IL 60062
(no. & street) (city/town) (state) (zip code)

Business Phone: NONE

Business Email: miles@energycx.com

Please check if you would prefer to receive the Annual Report Reminder Notice by email.

THIRD: It is formed under the laws of Illinois

FOURTH: The date of its formation is 04/29/2019

FIFTH: Describe the nature of the business or purposes to be conducted or promoted in New Hampshire (and if known, list the NAICS Code and Sub Code):

42-Wholesale Trade - 990-Other Miscellaneous Nondurable Goods Merchant Wholesalers

SIXTH: The name of its registered agent in New Hampshire is:

Unisearch, Inc. (728580)

The complete address of its registered office **IN NEW HAMPSHIRE** (agent's business address) is:

10 Ferry Street 313 Concord NH 03301
(no. & street) (city/town) (state) (zip code)

Manager/Member Information:

<u>Name</u>	<u>Title</u>	<u>Address</u>
MARK RICE	Manager	5601 WOODHEAD DR, #5, Northbrook, IL, 60062, USA

The period of its duration is: Not Stated

OTHER MATTERS (Attached)

Title: Manager
Signature: MARK RICE
Name of Signer: MARK RICE
Date signed: 05/22/2019
Effective Date: 05/22/2019 12:02:00 PM

Complete address of person signing: 3501 WOODHEAD DRIVE, #5, Northbrook, IL, 60062, USA

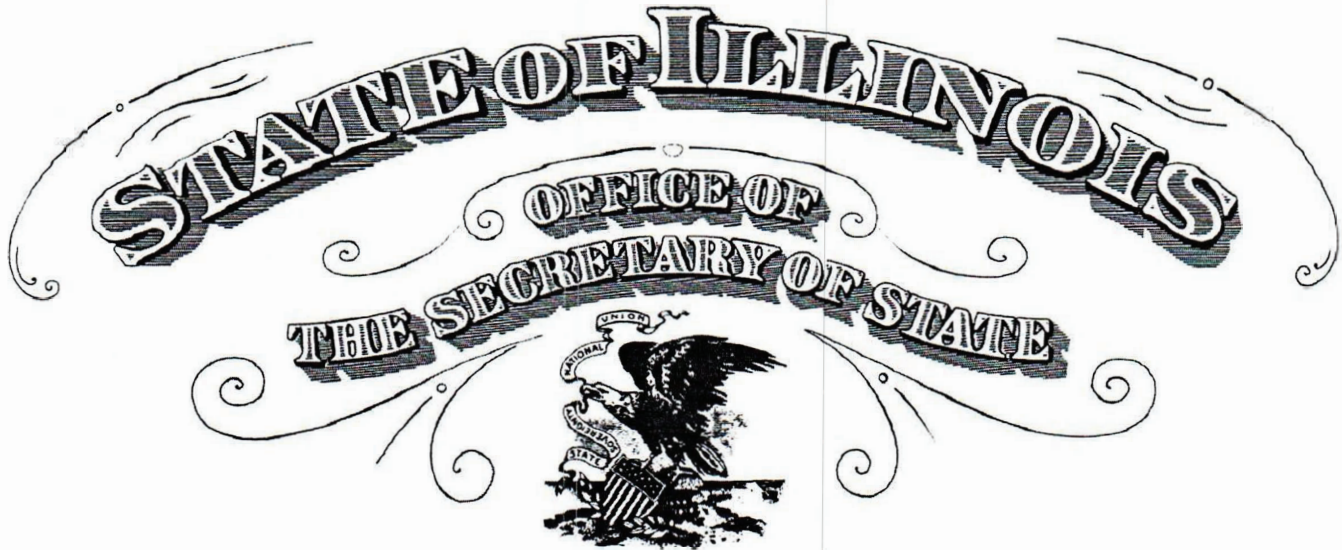
Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.

*Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

File Number

0758710-4



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that

ENERGY CX, LLC, HAVING ORGANIZED IN THE STATE OF ILLINOIS ON APRIL 29, 2019, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.



In Testimony Whereof, I hereto set
my hand and cause to be affixed the Great Seal of
the State of Illinois, this 21ST
day of MAY A.D. 2019 .

Jesse White

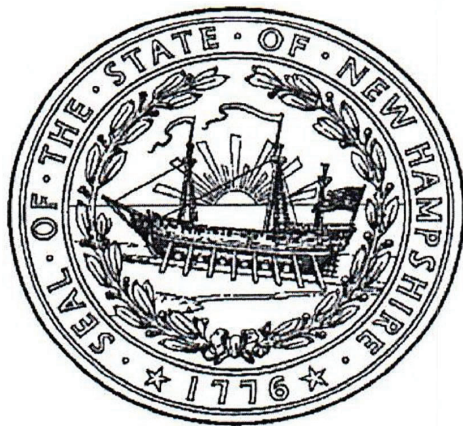
SECRETARY OF STATE

State of New Hampshire
Department of State

CERTIFICATE OF EXISTENCE
OF
ENERGY CX, LLC

This is to certify that **ENERGY CX, LLC** is registered in this office as a **Illinois Limited Liability Company** to transact business in New Hampshire on 5/22/2019 12:02:00 PM.

Business ID: **820099**



IN TESTIMONY WHEREOF,
I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 22nd day of May A.D. 2019

A handwritten signature in black ink, appearing to read "Wm Gardner".

William M. Gardner
Secretary of State

EXHIBIT Puc 3006.02(h)

Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of gas customers.

RESPONSE:

Below is a list of other states or jurisdictions in which Energy CX, LLC currently conducts business relating to the aggregation of gas customers:

- DC
- IL
- MA
- MD
- NH
- NJ
- OH
- PA
- TX