



VIA OVERNIGHT AND EMAIL

April 20, 2023

New Hampshire Department of Energy
Attn: Registrations
21 South Fruit Street
Suite 10
Concord, NH 03301-2429

RE: Renewal Application of EMEX, LLC as an Electricity Aggregator

To whom it may concern:

Please find enclosed an original and two (2) copies of the Renewal Application of EMEX, LLC to be an Electricity Aggregator in the State of New Hampshire. In addition to the hard copies, PDF copies of all documents have been emailed to registrations@energy.nh.gov as instructed.

In addition, as EMEX has submitted an assessment exemption claim form, please find enclosed a check of \$125.00 to accompany its renewal application.

If you have any questions regarding this application, do not hesitate to contact the undersigned at (781) 404-5928 or by email at mkinney@mantisinnovation.com.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Matthew T. Kinney', is written over a large, stylized blue scribble.

Matthew T. Kinney
Asst. General Counsel/V.P. of Regulatory Affairs

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	EMEX, LLC
	Trade Name (d/b/a) (if applicable)	Mantis Energy, Energy Market Exchange, EMEX Power
Puc 2006.02(b)	Business Mailing Address	11011 Richmond Ave. Suite 500 Houston TX 77042
	Telephone Number	877-459-4728
	E-Mail Address	customerservice@mantisinnovation.com
	Website Address (if applicable)	www.mantisinnovation.com
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 2006.02(c)	Name	Steve Campbell
	Title	President
	Business Mailing Address	209 Burlington Rd. Suite 219 Bedford MA 01730
	Telephone Number	781-376-1888
	E-Mail Address	scampbell@mantisinnovation.com
	Name	Kevin McAlpin
	Title	Executive Vice President and General Counsel
	Business Mailing Address	11011 Richmond Ave. Suite 500 Houston, TX 77042
	Telephone Number	713-521-9797
	Email Address	kmcalpin@mantisinnovation.com
Name		
Title		
Business Mailing Address		
Telephone Number		
E-Mail Address		

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

Customer Service Contact		
Puc 2006.02(d)	Name	Molly Oscarlece
	Title	Senior Director of Operations
	Telephone Number	781-404-5880
	Toll-Free Telephone Number (if available)	877-459-4728
	E-Mail Address	customerservice@mantisinnovation.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Molly Oscarlece
	Title	Senior Director of Operations
	Business Mailing Address	209 Burlington Rd. Suite 219 Bedford, MA 01730
	Telephone Number	781-404-5880
	E-Mail Address	moscarlece@mantisinnovation.com

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Matthew Kinney
	Title	Asst. General Counsel/V.P. of Regulatory Affairs
	Business Mailing Address	209 Burlington Rd. Suite 219 Bedford, MA 01730
	Telephone Number	781-404-5928
	E-Mail Address	mkinney@mantisinnovation.com

Department of Energy Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Matthew Kinney
	Title	Asst. General Counsel/V.P. of Regulatory Affairs
	Business Mailing Address	209 Burlington Rd. Suite 219 Bedford MA 01730
	Telephone Number	781-404-5928
	E-Mail Address	mkinney@mantisinnovation.com

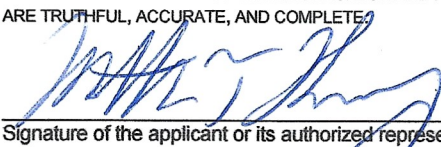
Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; (2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. See Attachment "A"
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name. See Attachment "A"

Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.		

Other States		
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	See Attachment "B"

Statement Regarding Supplier Representation		
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No
If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.		

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	Ongoing/Renewal Date Date

Attestation and Signature		
Puc 2006.02(l) and (m)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: Matthew T. Kinney</p> <p>Title: Asst. General Counsel/VP of Regulatory Affairs</p>	<p><u>4/20/23</u></p> <p>Date</p>

Filing Instructions		
<p>1) Mail an original and two paper copies of this form and all separate attachments to: New Hampshire Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov</p>		

EMEX, LLC

Electricity Aggregator Renewal

Attachment A

**Printout of NH Secretary of State Webpage showing Good Standing Status and Trade
Names of EMEX, LLC**



Welcome Mantis Innovation Legal!



User ID: MantisLegal

Last Login: 04/11/2023 12:50 PM

Account

Logout

- Dashboard
- Business Search
- Verify Certificate
- BUSINESS SERVICES
- TRADEMARK SERVICES
- Purchase Business Data
- Administration Services
- UCC
- UCC Data Sales
- My Profile
- Transaction Details
- Help
- Logout

Business Details

Business Name: EMEX, LLC Business ID: 638055
 Business Type: Foreign Limited Liability Company Business Status: **Good Standing**
 Business Creation Date: 10/28/2010 Name in State of Formation: EMEX, LLC
 Date of Formation in Jurisdiction: 10/28/2010
 Principal Office Address: 11011 Richmond Avenue S500, Houston, TX, 77042, USA Mailing Address: 11011 Richmond Avenue S500, Houston, TX, 77042, USA
 Citizenship / State of Formation: Foreign/Texas Last Annual Report Year: 2023
 Next Report Year: 2024
 Duration: Perpetual
 Business Email: legal@mantisinnovation.com Phone #: 713-521-9797
 Notification Email: legal@mantisinnovation.com Fiscal Year End Date: NONE

Principal Purpose

S.No	NAICS Code	NAICS Subcode
1	OTHER / Energy marketing services and any other lawful business or purpose a foreign LLC may register for pursuant to NH LLC laws.	

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Principals Information

Name/Title	Business Address
Kevin McAlpin / Vice President	11011 Richmond Avenue, Suite 500, Houston, TX, 77042, USA
EMEX Acquisition, LLC / Member	11011 Richmond Ave, STE 500, Houston, TX, 77042 - 7704, USA

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Registered Agent Information

Name: Capitol Corporate Services, Inc.
 Registered Office Address: 1 Old Loudon Road, Concord, NH, 03301, USA
 Registered Mailing Address: 1 Old Loudon Road, Concord, NH, 03301, USA

Trade Name Information

Business Name	Business ID	Business Status
EMEX Power	638056	Active
Energy Market Exchange	667883	Active
MANTIS ENERGY	872298	Active

Trade Name Owned By

Name	Title	Address
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Trademark Information

Trademark Number	Trademark Name	Business Address	Mailing Address
No records to view.			

- Filing History
- Address History
- View All Other Addresses
- Name History
- Shares
- Businesses Linked to Registered Agent
- Return to Search
- Back

Attachment B – Other Jurisdictions of Operations

EMEX, LLC. maintains the following licenses and registrations to aggregate or broker competitive electricity service or natural gas service:

<u>State/Agency</u>	<u>License Identifier</u>	<u>Type of License/Registration</u>
Delaware Public Service Commission	Order No. 8147	Electricity Broker
District of Columbia Public Service Commission	Order No. 16355	Electricity Broker
District of Columbia Public Service Commission	Order No. 17189	Natural Gas Broker
Illinois Commerce Commission	Dkt. 10-0455	Electricity Agent/Broker/Consultant
Maine Public Utilities Commission	Dkt. 2012-163	Electricity Broker
Maryland Public Service Commission	IR-2065	Electricity Broker
Maryland Public Service Commission	IR-3115	Natural Gas Broker
Massachusetts Department of Public Utilities	EB-176	Electricity Broker
Massachusetts Department of Public Utilities	RA-101	Natural Gas Retail Agent
New Hampshire Public Utilities Commission	DM 21-084	Electricity Aggregator
New Hampshire Public Utilities Commission	-	Natural Gas Aggregator
New Jersey Board of Public Utilities	EA-0083	Energy Agent
New Jersey Board of Public Utilities	PA-0125	Private Aggregator
New Jersey Board of Public Utilities	EC-0024	Energy Consultant
Public Utilities Commission of Ohio	11-414E	Electricity Broker/Aggregator
Public Utilities Commission of Ohio	13-309G	Natural Gas Broker/Aggregator
Pennsylvania Public Utility Commission	A-2019-3014305	Electricity Broker
Pennsylvania Public Utility Commission	A-2013-2365773	Natural Gas Broker
Public Utility Commission of Texas	80368	Electricity Aggregator
Public Utility Commission of Texas	BR190088	Electricity Broker

EMEX, LLC also provides service in the following jurisdictions, where licensing is not required: California, Connecticut, Florida, Georgia, Indiana, Michigan, New York, and Rhode Island.