

VIA OVERNIGHT EXPRESS AND ELECTRONIC MAIL: (registrations@energy.nh.gov)

March 2, 2022

New Hampshire Department of Energy
Attn: Consumer Services
21 South Fruit Street
Suite 10
Concord, NH 03301

RE: Initial Application of EMEX, LLC as a Natural Gas Aggregator

To whom it may concern:

Please find enclosed with this letter an original and two (2) copies of EMEX, LLC's ("EMEX") initial application as a Natural Gas Aggregator ("Application"), and associated exhibits.

In addition to the hard copy filing, an electronic version of the Application is being sent to the above email address. Accompanying this letter and Application is the application fee of \$250.00, payable to the State of NH-Department of Energy.

If there are any questions regarding this filing, do not hesitate to contact the undersigned at (781) 404-5928 or by email at mkinney@mantisinnovation.com.

Sincerely,



Matthew T. Kinney
Asst. General Counsel/V.P. of Regulatory Affairs

Natural Gas Initial Application of EMEX, LLC

(pursuant to PUC 3003.05 and PUC 3006.02)

- (a) The legal name of the applicant as well as the trade name, if any, under which it intends to operate in this state.

Corporate Legal Name: EMEX, LLC
Trade Name: Mantis Energy

- (b) The applicant's business address, telephone number, e-mail address, and website address, if applicable;

Address: 11011 Richmond Avenue
Suite 500
Houston, TX 77042
Phone: 877-459-4728
E-mail: customerservice@mantisinnovation.com
Website: www.mantisinnovation.com

- (c) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual;

Principal Name	Title	Business Address/ Telephone/Email Address
Daniel Marzuola	Chief Executive Officer	11011 Richmond Avenue Suite 500 Houston, TX 77042 (713) 521-9797 regulatory@mantisinnovation.com
Steven Campbell	President, Mantis Energy	209 Burlington Rd. Suite 219 Bedford, MA 01730 (781) 376-1888 regulatory@mantisinnovation.com
Kurt Keller	Chief Financial Officer	11011 Richmond Avenue Suite 500 Houston, TX 77042 (713) 521-9797 regulatory@mantisinnovation.com

- (d) The telephone number of the customer service department or the name, title, telephone number,

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and e-mail address of the customer service representative of the applicant, including toll free telephone numbers if available

The customer service department is reachable by toll-free telephone at (877) 459-4728 or via email at customerservice@mantisinnovation.com.

(e)(1) Customer Complaint Contact Information

Molly Oscarlece, Senior Director of Operations, Direct Sales

209 Burlington Rd.

Suite 219

Bedford, MA 01730

781-376-1888 x248

moscarlece@mantisinnovation.com

(e)(2) Regulatory Contact Information

Matthew T. Kinney, Asst. General Counsel/V.P. of Regulatory Affairs

209 Burlington Rd.

Suite 219

Bedford, MA 01730

(781) 404-5928

mkinney@mantisinnovation.com

(e)(3) Commission Assessments Payments Contact

Matthew T. Kinney, Asst. General Counsel/V.P. of Regulatory Affairs

209 Burlington Rd.

Suite 219

Bedford, MA 01730

(781) 404-5928

mkinney@mantisinnovation.com

(f) Evidence of the applicant's registration to do business in New Hampshire from the New Hampshire Secretary of State.

See Attachment "A"

(g) Evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state.

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See Attachment “B”

(h) A list of other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of natural gas customers;

Jurisdiction	Franchise/Permit/License
Alberta (Canada)	None Required
California	None Required
Connecticut	None Required
District of Columbia	Order No. 17189
Florida	None Required
Georgia	None Required
Illinois	None Required
Indiana	None Required
Maine	Registered
Maryland	IR-3115
Massachusetts	RA-101
Michigan	None Required
New Jersey	EA-0083, PA-0125, EC-0024
New York	None Required
Ohio	13-309G
Pennsylvania	A-2013-2365773
Rhode Island	None Required
Texas	None Required

(i) A statement as to whether the applicant or any of the applicant’s principals: (1) Has ever been convicted of any felony that has not been annulled by a court; (2) Has, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation; (3) Has, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation; (4) Is currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation; or (5) Has been denied authorization to provide competitive natural gas supply service or natural gas aggregation service in any other state or jurisdiction.

The Applicant or its principals, during the relevant time periods, do not have any of the aforementioned convictions, sanctions, penalties, denials of authority, or have any pending investigations or complaints.

(j) If an affirmative answer is given to any item in (i) above, a detailed explanation of the occurrence and the related circumstances;

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Not Applicable.

(k) A list of the CNGSs in New Hampshire through which the applicant intends to provide service at this time;

**Direct Energy Business
Sprague Energy Services
Spark Energy**

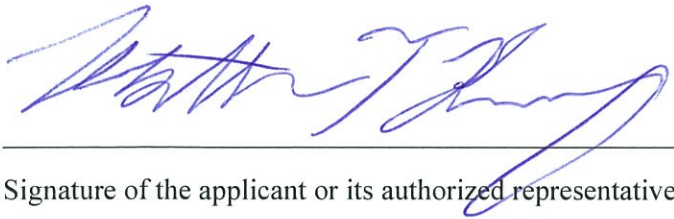
(l) A statement that the applicant is not representing any supplier interest or a list of any supplier interest(s) the applicant intends to represent;

Applicant represents its clients and does not represent any supplier interests.

(m) The date upon which the applicant expects to commence marketing its services to customers in New Hampshire;

Applicant will commence marketing its services immediately upon approval of its application.

BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.



Signature of the applicant or its authorized representative

Name: MATTHEW T. KINNEY

Title: ASST. GENERAL COUNSEL/V.P. OF REGULATORY AFFAIRS

Date: 3/2/2022

EMEX, LLC

Natural Gas Aggregator Initial Application

Attachment "A" - Certificate of Good Standing

Attached is a certificate of good standing from the New Hampshire Secretary of State.

State of New Hampshire

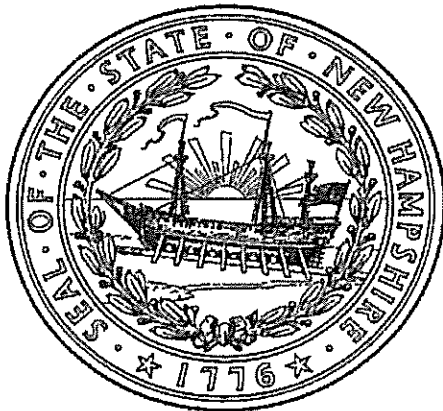
Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that EMEX, LLC is a Texas Limited Liability Company registered to transact business in New Hampshire on October 28, 2010. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 638055

Certificate Number: 0005681625



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 25th day of February A.D. 2022.

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State

EMEX, LLC

Natural Gas Aggregator Initial Application

Attachment "B" - Registration of Trade Name

Attached is evidence of the registration of the trade name "MANTIS ENERGY" from the New Hampshire Secretary of State.

State of New Hampshire

Filed
Date Filed : 05/24/2021 04:30:00 PM
Effective Date : 05/24/2021 04:30:00 PM
Filing # : 5372429 Pages : 1
Business ID : 872298
William M. Gardner
Secretary of State
State of New Hampshire

Filing fee: \$50.00
Use black print or type.

APPLICATION FOR REGISTRATION OF TRADE NAME

(PLEASE TYPE OR PRINT CLEARLY)

1. Business name: Mantis Energy
(Name cannot include "INC." or other corporate designation)
2. Business address: 11011 Richmond Ave, Suite 500, Houston, TX 77042
No. & Street City / town State Zip
- Mailing address (if different): _____
No. & Street City / town State Zip
3. Brief description of kind of business to be carried on (and if known, list the NAICS Code and Sub-Code): Energy consulting services, NAICS Code: 541690

4. Date business organized: January 5, 2007

5-A. **BUSINESS APPLICANT:** If the applicant is a corporation or other entity, list corporation's or entity's exact name and include title of person signing. If more space is needed for additional entity applicants, please attach additional sheet(s).

<u>EMEX, LLC</u> <small>Entity name (type or print)</small> <i>Kurt Keller</i>	<u>11011 Richmond Ave, Suite 500</u> <small>No. Street</small>
<u>AUTHORIZED SIGNATURE</u>	<u>Houston, TX 77042</u> <small>Town/City State Zip</small>

Kurt Keller, Chief Financial Officer
Signer's name and title (type or print)

5-B. **INDIVIDUAL APPLICANTS:** Please type or print applicants' name(s), address(es) and include signature. If more space is needed for additional individual applicants, please attach additional sheet(s).

1. _____
Type or print name No. Street
- _____
SIGNATURE Town/City State Zip
2. _____
Type or print name No. Street
- _____
SIGNATURE Town/City State Zip

Business E-Mail: _____

Business Phone: _____

____ Please check if you would prefer to receive the Reminder Notice by email.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mailing Address - Corporation Division, NH Dept. of State, 107 N Main St, Rm 204, Concord, NH 03301-4989
Physical Location - State House Annex, 3rd Floor, Rm 317, 25 Capitol St, Concord, NH