



VIA OVERNIGHT EXPRESS AND ELECTRONIC MAIL: (registrations@energy.nh.gov)

January 5, 2024

New Hampshire Department of Energy
Attn: Consumer Services
21 South Fruit Street
Suite 10
Concord, NH 03301

RE: Renewal Application of EMEX, LLC d/b/a Mantis Energy as a Natural Gas Aggregator

To whom it may concern:

Please find enclosed with this letter an original and two (2) copies of EMEX, LLC d/b/a Mantis Energy (“Mantis”) initial application as a Natural Gas Aggregator (“Application”), and associated exhibits.

In addition to the hard copies of the Application, an electronic version of the Application is being sent to the above email address. As no exemption form has been filed, no renewal fee is enclosed.

If there are any questions regarding this filing, do not hesitate to contact the undersigned at (781) 404-5928 or by email at mkinney@mantisinnovation.com.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Matthew T. Kinney', is written over a large, stylized blue scribble.

Matthew T. Kinney
Asst. General Counsel/V.P. of Regulatory Affairs

Natural Gas Aggregation Application Form

This form may be used to: (1) apply for initial registration as a natural gas aggregator in New Hampshire, (2) apply for renewal of registration as natural gas aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed gas aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 3006.02 when applying for initial or renewal registration as a gas aggregator, but you are not required to use this form when doing so.

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 3006.02(a)	Legal Name	EMEX, LLC
	Trade Name (d/b/a) (if applicable)	Mantis Energy
Puc 3006.02(b)	Business Mailing Address	11011 Richmond Ave. Suite 500 Houston, TX 77042
	Telephone Number	713-521-9797
	E-Mail Address	customerservice@mantisinnovation.com (client inquiries)
	Website Address (if applicable)	www.mantisinnovation.com
	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
Puc 3006.02(c)	Name	See Attachment "A"
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	Email Address	
	Name	
	Title	
	Business Mailing Address	
Telephone Number		
E-Mail Address		

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

Customer Service Contact		
Puc 3006.02(d)	Name	Jennifer Underwood
	Title	Vice President of Operations - Energy Solutions
	Telephone Number	443-210-8786
	Toll-Free Telephone Number (if available)	877.459.4728
	E-Mail Address	customerservice@mantisinnovation.com

Customer Complaints Contact		
Puc 3006.02(e)(1)	Name	Jennifer Underwood
	Title	Vice President of Operations - Energy Solutions
	Business Mailing Address	209 Burlington Rd. Suite 219 Bedford, MA 01730
	Telephone Number	443-210-8766
	E-Mail Address	junderwood@mantisinnovation.com

Regulatory Compliance Matters Contact		
Puc 3006.02(e)(2)	Name	Matthew T. Kinney
	Title	Asst. General Counsel/V.P. of Regulatory Affairs
	Business Mailing Address	209 Burlington Rd. Suite 219 Bedford, MA 01730
	Telephone Number	781-404-5928
	E-Mail Address	mkinney@mantisinnovation.com

Department of Energy Assessment Payments Contact		
Puc 3006.02(e)(3)	Name	Tamra O'Brien
	Title	Financial Analyst
	Business Mailing Address	11011 Richmond Ave. Suite 500 Houston, TX 77042
	Telephone Number	713-521-9797 ext. 405
	E-Mail Address	taobrien@mantisinnovation.com

Separate Attachments: Business Authority and Trade Name	
Puc 3006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; (2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. See Attachment "B"
Puc 3006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name. See Attachment "B"

Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 3006.02(i)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 3006.02(i)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 3006.02(i)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 3006.02(i)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 3006.02(i)(5)	Has applicant or any of its principals been denied authorization to provide competitive gas supply service or gas aggregation service in any other state or jurisdiction?	No
Puc 3006.02(j)	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

Other States		
Puc 3006.02(h)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of gas customers.	See Attachment "C"

Statement Regarding Supplier Representation		
Puc 3006.02(k)	Please list the competitive natural gas suppliers (CNGS) in New Hampshire through which the applicant intends to provide service. Although EMEX is continuously seeking new suppliers, as of this time, EMEX is placing its clients with Direct Energy Business and Sprague Energy Solutions.	
Puc 3006.02(l)	Please provide a statement that the applicant is not representing any supplier interest or provide a list of any supplier interests the applicant intends to represent. EMEX, LLC does not represent suppliers, but rather represents its clients.	

Expected Marketing Start Date		
Puc 3006.02(m)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	<u>3/14/2022</u> Date

Attestation and Signature		
Puc 3006.02(n) and (o)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p>  <p>Signature of the applicant or its authorized representative</p> <p>Name: Matthew T. Kinney</p> <p>Title: Asst. General Counsel/Vice President of Regulatory Affairs</p>	<p><u>1/4/2024</u> Date</p>

Filing Instructions		
	<p>1) Mail an original and two paper copies of this form and all separate attachments to:</p> <p style="text-align: center;">New Hampshire Department of Energy 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov</p>	

Attachment "A" – Principals

<u>Principal Name</u>	<u>Title</u>	<u>Business Address/ Telephone/Email Address</u>
Steven Campbell	President, Mantis Energy	209 Burlington Rd. Suite 219 Bedford, MA 01730 (781) 376-1888
Darrell Whitley	Chief Executive Officer	regulatory@mantisinnovation.com 11011 Richmond Avenue Suite 500 Houston, TX 77042 (713) 521-9797
Giovanni Danio	Chief Financial Officer	regulatory@mantisinnovation.com 11011 Richmond Avenue Suite 500 Houston, TX 77042 (713) 521-9797
Kevin McAlpin	Vice President and General Counsel	regulatory@mantisinnovation.com 11011 Richmond Avenue Suite 500 Houston, TX 77042 (713) 521-9797 x507
Matthew T. Kinney	Assistant General Counsel/Vice President of Regulatory Affairs	kmcalpin@mantisinnovation.com 209 Burlington Rd. Suite 219 Bedford, MA 01730 (781) 404-5928 mkinney@mantisinnovation.com

Attachment “B” – Secretary of State

Attached are Certificates of Good Standing from the New Hampshire Secretary of State for EMEX, LLC and the Trade Name “Mantis Energy”

State of New Hampshire

Department of State

CERTIFICATE

I, David M. Scanlan, Secretary of State of the State of New Hampshire, do hereby certify that EMEX, LLC is a Texas Limited Liability Company registered to transact business in New Hampshire on October 28, 2010. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: **638055**

Certificate Number: **0006494664**



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 4th day of January A.D. 2024.

A handwritten signature in black ink, appearing to read "David M. Scanlan".

David M. Scanlan
Secretary of State

State of New Hampshire

Department of State

CERTIFICATE

I, David M. Scanlan, Secretary of State of the State of New Hampshire, do hereby certify that MANTIS ENERGY is a New Hampshire Trade Name registered to transact business in New Hampshire on May 24, 2021. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: **872298**

Certificate Number: **0006494668**



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 4th day of January A.D. 2024.

A handwritten signature in black ink, appearing to read "David M. Scanlan".

David M. Scanlan
Secretary of State

Attachment “C” – Other Jurisdictions

A list of other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of natural gas customers;

Jurisdiction	Franchise/Permit/License
Alberta (Canada)	None Required
California	None Required
Connecticut	None Required
District of Columbia	Order No. 17189
Florida	None Required
Georgia	None Required
Illinois	None Required
Indiana	None Required
Maine	Registered
Maryland	IR-3115
Massachusetts	RA-101
Michigan	None Required
New Jersey	EA-0083, PA-0125, EC-0024
New York	None Required
Ohio	13-309G
Pennsylvania	A-2013-2365773
Rhode Island	None Required
Texas	None Required