

159 20th St., Suite 1B Brooklyn, NY 11232

P. 212 590 0145

info@feller.law www.feller.law

VIA FEDERAL EXPRESS AND ELECTRONIC MAIL (registrations@energy.nh.gov)

October 24, 2023

New Hampshire Department of Energy 21 South Fruit Street, Suite 10 Concord, NH 03301

RE: Electric Load Aggregation Renewal for DNE Sales, LLC d/b/a DNE Resources

Dear Sir/Madam:

Pursuant to Puc 2006.02, attached is the <u>original and two copies</u> of the Electric Load Aggregation Renewal for DNE Sales, LLC d/b/a DNE Resources together with check number 2035 in the amount of \$125 made payable to the **New Hampshire Department of Energy**. In accordance with the New Hampshire Public Utilities Commission rules, this renewal has also been filed electronically.

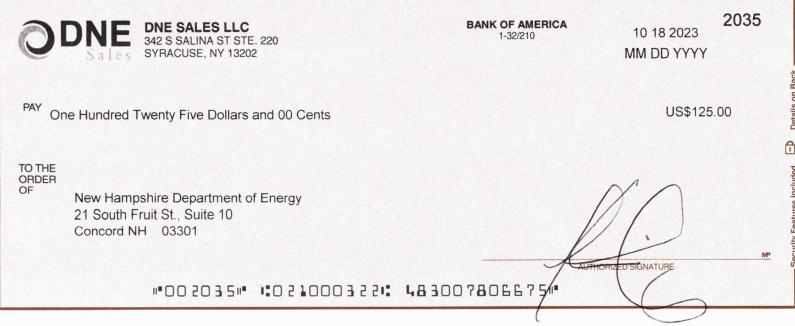
Please do not hesitate to contact me if you have any questions at (718) 690-3480 or by email at MichelleMann@Feller.Law.

Sincerely,

<u>/s/ Michelle Mann</u> Michelle Mann Director of Government Affairs & Regulatory Compliance/Corporate Paralegal Feller Law Group, PLLC Phone: 718-690-3480 Email: <u>MichelleMann@Feller.Law</u>

On behalf of DNE Sales, LLC d/b/a DNE Resources

Enclosures



DNE SALES LLC

2035

Vendor ID	Name		Payment Number	Cheque Date	Document Number
NEW002	New Hampshire Depart	tment of Energ	000000000000120	10/18/2023	2035
Our Voucher Number	Date	Amour	nt Amount Paid	Discount	Net Amount Paid
2023-ELEC	10/12/2023	US\$125.00	US\$125.00	US\$0.00	US\$125.00

US\$125.00

US\$125.00

US\$0.00

US\$125.00

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

Indicate whether this application is for an initial registration or for a renewal. Initial \Box Renewal \Box						
	Applicant's General Information					
Puc 2006.02(a)	Legal Name					
	Trade Name (d/b/a) (if applicable)					
Puc 2006.02(b)	Business Mailing Address					
	Telephone Number					
	E-Mail Address					
	Website Address (if applicable)					
Puc 2006.02(c)	Provide the name(s), title(s), bus individual, or of the applicant's pr your response exceeds the space	siness address(es), telephone number(s), and e-mail address(es) of the applicant, if an rincipal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if a provided on the form.				
	Name					
	Title					
	Business Mailing Address					
	Telephone Number					
	E-Mail Address					
	Name					
	Title					
	Business Mailing Address					
	Telephone Number					
	Email Address					
	Name					
	Title					
	Business Mailing Address					
	Telephone Number					
	E-Mail Address					

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

	Customer Service Contact				
Puc 2006.02(d)	Name				
	Title				
	Telephone Number				
	Toll-Free Telephone Number (if available)				
	E-Mail Address				

Customer Complaints Contact				
Puc 2006.02(e)(1)	Name			
	Title			
	Business Mailing Address			
	Telephone Number			
	E-Mail Address			

	Regulatory Compliance Matters Contact				
Puc 2006.02(e)(2)	Name				
	Title				
	Business Mailing Address				
	Telephone Number				
	E-Mail Address				

	Department of Energy Assessment Payments Contact				
Puc 2006.02(e)(3)	Name				
	Title				
	Business Mailing Address				
	Telephone Number				
	E-Mail Address				

	Separate Attachments: Business Authority and Trade Name
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:
	(1)a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import;
	(2)or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do
	business in New Hampshire. Please refer to Puc 2006.02(f).
Puc 2006.02(g)	 Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.
	Please refer to Puc 2006.02(g).

	Statements Regarding Applicant and its Principals			
Please respond to each of the following questions with either "Yes" or "No."				
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No		
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No		
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No		
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No		
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No		
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	Not applicable.		

	Other States	NAME OF THE OWNER
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	Please refer to PUC 2006.02(i).

Statement Regarding Supplier Representation				
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No		
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additi needed.	ional sheets if		
	Not applicable.			

Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	1/3/2022

Attestation and Signature				
Puc 2006.02(I) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.			
	Signature of the applicant or its authorized representative	10/19/20 Date		
	Name: Wayne Burke			
	Title: President Chief Executive Officer			

Filing Instructions		
1) Mail an original and two paper copies of this form and all separate attachments to:		
New Hampshire Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301		
2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov		

PUC 2006.02(f)

Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:

(1)a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import;

(2)or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.

RESPONSE:

Please refer to the attached.

Filed Date Filed : 11/15/2021 04:30:00 PM Effective Date : 11/15/2021 04:30:00 PM Filing # : 5467970 Pages : 2 Business ID : 886061 William M. Gardner Secretary of State State of New Hampshire

Filing fee: \$100.00 Use black print or type.

R5A 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is DNE Sales, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is ____

DNE Sales, LLC

Principa	l Business	Information:
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Principal Unice Address:	35A Smitht	ield Blvd., Suite 22	21 Plattsburg	NY	12901	
	(no. & street)		(city/town)	(state)) (zip code)	
Principal Mailing Address	(if different);	100-16766 Trans	Canada Highway,	Kirkland, QC,	Canada H9H	4M7
	· · · ·	(no. & street)	(city/town)	(state)	(zip code)	

Business Phone: (888) 631-7977

Business Email: info@dneresources.com

Please check if you would prefer to receive the courtesy Annual Report Reminder by email.

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is May 27, 2011

FIFTH: Describe the nature of the business or purposes to be conducted or promoted in New Hampshire (and if known, list the NAICS Code and Sub Code): <u>energy troker/aggregator</u>

SIXTH: The name of its registered agent in New Hampshire is:

Corporation Service Company

The complete address of its registered office IN NEW HAMPSHIRE (agent's business address) is:

10 Ferry Street, Suite 313, Concord, NH 03301 (no. & street)

(city/town)

(state) (zip code)

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

MANAGER / MEMBER INFORMATION (List all Managers and/or Members you wish to be placed on record)				
NAME	BUSINESS ADDRESS	TITLE		
	•			

*Signature: _	<u> </u>	
Print or type name:	Wayne Burke	
Title:	CEO	
Date signed:	November 4, 2021	

Complete address of person signing: 100-16766 Trans-Canada Highway

Kirkland, Québe	c Car	ada	
H9H 4M7			

Note: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-8). The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-8; 2) are exempted or when offered will be exempted under RSA 421-8; 3) are or will be offered in a transaction exempted from registration under RSA 421-8; 4) are not securities under RSA 421-8; OR 5) are federal covered securitles under RSA 421-8. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securitles within the meaning of sections 448 and 461(i)(3) of the United States Internal Revenue Code and the regulation promulgated thereunder.

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mailing Address - Corporation Division, NH Dept. of State, 107 N Main St, Rm 204, Concord, NH 03301-4989 Physical Location - State House Annex, 3rd Floor, Rm 317, 25 Capitol.St, Concord, NH

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Form FLLC-1 (10/2018)

Department of State

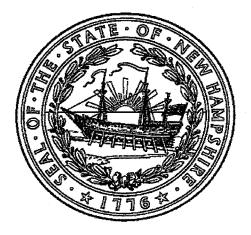
CERTIFICATE OF EXISTENCE

OF

DNE SALES, LLC

This is to certify that **DNE SALES**, **LLC** is registered in this office as a **Delaware Limited Liability Company** to transact business in New Hampshire on 11/15/2021 4:30:00 PM.

Business ID: 886061



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 15th day of November A.D. 2021.

William M. Gardner Secretary of State

PUC 2006.02(g)

Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:

(1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or

(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.

RESPONSE:

Please refer to the attached.

Filing fee: \$50.00 Use black print or type. Filed Date Filed : 11/10/2021 04:30:00 PM Effective Date : 11/10/2021 04:30:00 PM Filing # : 5467330 Pages : 1 Business ID : 885896 William M. Gardner Secretary of State State of New Hampshire

APPLICATION FOR REGISTRATION OF TRADE NAME

(PLEASE TYPE OR PRINT CLEARLY)

1. Business name: DNE Resources			
(Namo <u>canr</u>	not include "INC," or other corporate des	ignation)	
2. Business address: 35A Smithfield Blvd., Suite		NY	12901
No. & Street	City / town	State	Zip
Mailing address (if different): <u>100-16766 Trans</u>	-Canada Highway, Kirkland, OC		
	City / town	State	Zip
3. Brief description of kind of business to be carried	ed on (and if known, list the NAIC	S Code and Su	1D-
Code): energy broker/aggregator			
			and the second secon
4. Date business organized: May 27, 2011			
5-A. BUSINESS APPLICANT: If the applicant is a			entity's
exact name and include title of person signing applicants, please attach additional sheet(s).	g. If more space is needed for ad	onional entity	
applicants, please attach additional sheet(s).			
Entity name (type or print)	No. Street		
AUTHORIZED SIGNATURE	Town/City	State	Zip
	-		
Signer's name and title (type or print)			
Signer a name and two (type or print)			
5-B. INDIVIDUAL APPLICANTS: Please type or p			
signature. If more space is needed for additio	nal individual applicants, please a	attach additiona	al
sheet(s).			
1. Indune Birte	100-16766 Trans-Canada High	way	
Type or print name	No. Street		
<u>- 2</u>	Kirkland, OC Canada H9H 4M	7	
SIGNATURE	Town/City	State	Zip
2. Steve Shoiru	100-16766 Trans-Canada High	way	
Type or print name	No. Street		
		•	
	Kirkland, OC Canada H9H 4M	State	Zip
SIGNATURE	Town/City	31916	εψ
Business E-Mail: info@dneresources.com			
Business Phone: (888) 631-7977	·		

Please check if you would prefer to receive the Reminder Notice by email.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mailing Address - Corporation Division, NH Dept. of State, 107 N Main St, Rm 204, Concord, NH 03301-4989 Physical Location - State House Annex, 3rd Floor, Rm 317, 25 Capitol St, Concord, NH

Department of State

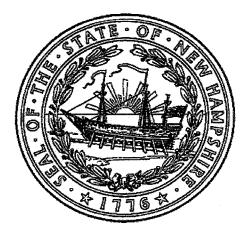
CERTIFICATE OF REGISTERED TRADE NAME OF DNE RESOURCES

This is to certify that **Wayne Burke, Steve Shoiry** is registered in this office as doing business under the Trade Name **DNE RESOURCES**, at 35A Smithfield Blvd, Suite 221, Plattsburgh, NY, 12901, USA on **11/10/2021 4:30:00 PM**.

The nature of business is Other / energy broker / aggregator

Expiration Date: 11/10/2026 4:30:00 PM

Business ID: 885896



IN TESTIMONY WHEREOF, I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 10th day of November A.D. 2021.

William M. Gardner Secretary of State

PUC 2006.02(i)

Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.

RESPONSE:

DNE Sales, LLC ("DNE") is authorized to provide electric aggregation and broker services to retail customers in Illinois, Massachusetts, Ohio, and Pennsylvania.