

This form may be used to: (1) apply for initial registration as a competitive natural gas supplier (CNGS) in New Hampshire, (2) apply for renewal of registration as a CNGS in New Hampshire, and (3) notify the Department of any changes to information in a previously filed CNGS application. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 3006.01 when applying for initial or renewal registration as a CNGS, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input type="checkbox"/>		
Applicant's General Information		
Puc 3006.01(a)	Legal Name	
	Trade Name (d/b/a) (if applicable)	
Puc 3006.01(b)	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	Website Address	
Puc 3006.01(c)	Provide the state or jurisdiction of organization, if anything other than an individual.	
Puc 3006.01(d)	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) <sup>1</sup> if it is anything other than an individual. Use additional sheets as needed.	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	
	<hr/>	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	Email Address	
	<hr/>	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

Affiliates and Subsidiaries	
Puc 3006.01(e)	Provide the following information regarding any affiliates <sup>2</sup> and subsidiaries of the applicant that are conducting business in New Hampshire. Use additional sheets as needed.
	Name of Entity
	Business Address
	Telephone Number
	Provide a description of the business purpose of the entity.
	Provide a description of any agreements with any affiliated New Hampshire utility, and the docket or case number relative to the filing of any such agreements with the NHDOE or the NHPUC.
	Name of Entity
	Business Address
	Telephone Number
	Provide a description of the business purpose of the entity.
Provide a description of any agreements with any affiliated New Hampshire utility, and the docket or case number relative to the filing of any such agreements with the NHDOE or the NHPUC.	

2. "Affiliate" means any of the following:

- a) Any person or entity that directly or indirectly owns, controls, or holds with power to vote a majority of the outstanding voting securities or such minority thereof as to give such person substantial control of another person or entity;
- b) Any person or entity with which another person or entity has a management or service contract or arrangement that provides such person or entity with effective control over the management, supervision, or operation of the other person or entity; or
- c) Any person or entity who or which actually exercises effective control over the management, supervision, or operation of another person or entity.

Customer Service Department Contact		
Puc 3006.01(f)	Name	
	Title	
	Toll-Free Telephone Number (if available)	
	Telephone Number	
	E-Mail Address	

Customer Complaints Contact		
Puc 3006.01(g)(1)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

Regulatory Compliance Matters Contact		
Puc 3006.01(g)(2)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

Department of Energy Assessment Payments Contact		
Puc 3006.01(g)(3)	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	E-Mail Address	

<b>Separate Attachments: Business Authority, Trade Name, and Shipper Status</b>	
Puc 3006.01(h)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 3006.01(i)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the N. H. Secretary of State by submitting, with this application form, either of the following: (1) A recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) A copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.
Puc 3003.01(c)(2)	Provide documentation demonstrating that the applicant is an approved shipper on the upstream pipelines and underground storage facilities on which the LDC will assign capacity, if any, to the applicant.

<b>Franchise Areas, Customer Types to be Served, and Other States</b>	
Puc 3006.01(j)	List the LDCs in New Hampshire through which the applicant intends to provide service, and, to the extent the applicant does not intend to provide service in the entire franchise area of an LDC, a list of the cities and towns in which the applicant intends to provide service within such LDC franchise area.
Puc 3006.01(k)	Provide a description of the types of customers the applicant intends to serve.
Puc 3006.01(l)	List the other states or jurisdictions in which the applicant currently conducts business relating to the sale of natural gas.

<b>Customer Complaints</b>	
Puc 3006.01(m)	<p>Use either the table below or a separate attachment to provide a list disclosing the number and type of customer complaints concerning the applicant or its principals and affiliates filed with or by any commission or regulatory agency, attorney general's office, or other governmental consumer protection regulatory authority, for the most recent 2 calendar years in every state or other jurisdiction in which the applicant has conducted business relating to the sale of natural gas.</p> <p>In the table below, enter abbreviations of applicable states or the jurisdiction across the top row, complaint types in the left column, and, for each cell in the table, the number of complaints for each type within the applicable state or jurisdiction.</p>

	(enter applicable states/jurisdictions in row just below)										
Complaint Type											Total
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
<b>Total</b>	0	0	0	0	0	0	0	0	0	0	0

<b>Statements Regarding Applicant and its Principals</b>		
Respond to each of the following questions with either "Yes" or "No."		
Puc 3006.01(n)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	
Puc 3006.01(n)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	
Puc 3006.01(n)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	
Puc 3006.01(n)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	
Puc 3006.01(n)(5)	Has applicant or any of its principals been denied authorization to provide competitive natural gas supply service or natural gas aggregation service in any other state or jurisdiction?	
Puc 3006.01(o)	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

<b>Telemarketing</b>		
Puc 3006.01(p)	Does the applicant intend to telemarket? Respond with either "Yes" or "No."	
<b>If the response to the question above is "Yes," then respond to the following three questions:</b>		
Puc 3006.01(p)(1)	Will the applicant maintain a list of customers who request being placed on the applicant's do-no-call list for the purposes of telemarketing?	
Puc 3006.01(p)(2)	Will the applicant obtain monthly updated do-no-call lists from the National Do Not Call Registry?	
Puc 3006.01(p)(3)	Will the applicant NOT initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or who are listed on the National Do Not Call Registry?	

<b>Sample Bill Form</b>	
Puc 3006.01(r)	Does the applicant intend to use only the utility's billing service? Respond with either "Yes" or "No."
Puc 3006.01(r)	If the response to the question above is "No," then provide a sample of the bill form(s) the applicant intends to use as a separate attachment.

<b>Customer Contracts and Agreements with Aggregators</b>	
Puc 3006.01(s)	Please provide a copy of any customer contract forms or representative samples of contracts to be used, including any schedules or other documentation attached to, incorporated into, or referenced in such contract.
Puc 3006.01(t)	Does the applicant have any agreements with aggregators to provide service in New Hampshire?
	If the response to the question above is "Yes", please confirm that the applicant has verified that the aggregator(s) with which it has agreement(s) has registered as a natural gas aggregator in New Hampshire.
Puc 3003.04(c)	For renewal applications, provide, on a confidential basis, a report listing any aggregators currently using the CNGS to provide service to New Hampshire customers, and the number of customers served by each listed aggregator.

<b>File Financial Security Instrument</b>	
Refer to Puc 3003.03 for the financial security requirements.	
Puc 3003.01(c)(1)	Provide an original executed financial security instrument that meets the requirements of Puc 3003.03.
	File the original, executed financial security instrument with the NH Department of Energy. The financial security instrument can be filed separately from the application form, by U.S. mail, overnight express, or hand delivery.

<b>Submit Application Fee (For Initial Applications Only)</b>	
Puc 3003.01(c)(3)	For an application for initial registration, submit payment of the application fee in the amount of \$250.00 to the NH Department of Energy by U.S. mail, overnight express, or hand delivery. Make check payable to:  New Hampshire Department of Energy  Note that there is no fee for a renewal application.

<b>Expected Marketing Start Date</b>	
Puc 3006.01(u)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.  Date _____

<b>Attestation and Signature</b>	
Puc 3006.01(v) and (w)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE CEPS AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p> <p>_____            Signature of the applicant or its authorized representative</p> <p>Name:  Title:</p> <p style="text-align: right;">Date _____</p>

<b>Filing Instructions</b>	
	<p><b>1) Mail an original and two paper copies of this form and all separate attachments to:</b>  <b>New Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301</b></p> <p><b>2) E-mail a PDF of this form and all separate attachments to:</b>  <a href="mailto:registrations@energy.nh.gov">registrations@energy.nh.gov</a></p>