

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	Broker Online Exchange, LLC
	Trade Name (d/b/a) (if applicable)	N/A
Puc 2006.02(b)	Business Mailing Address	1 Paragon Drive, Suite 255, Montvale, NJ 07645
	Telephone Number	732-966-0719
	E-Mail Address	nick@brokeronlinexchange.com
	Website Address (if applicable)	brokeronlinexchange.com
Puc 2006.02(c)	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), ¹ if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
	Name	Nicholas Nevins
	Title	Accounting Manager
	Business Mailing Address	1 Paragon Drive, Suite 255, Montvale, NJ 07645
	Telephone Number	732-966-0719
	E-Mail Address	nick@brokeronlinexchange.com
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	
	Email Address	
	Name	
	Title	
	Business Mailing Address	
Telephone Number		
E-Mail Address		

1. "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

Customer Service Contact		
Puc 2006 02(d)	Name	Nicholas Nevins
	Title	Accounting Manager
	Telephone Number	732-966-0719
	Toll-Free Telephone Number (if available)	
	E-Mail Address	nick@brokeronlinexchange.com

Customer Complaints Contact		
Puc 2006 02(e)(1)	Name	Nicholas Nevins
	Title	Accounting Manager
	Business Mailing Address	1 Paragon Drive, Suite 255, Montvale, NJ 07645
	Telephone Number	732-966-0719
	E-Mail Address	nick@brokeronlinexchange.com

Regulatory Compliance Matters Contact		
Puc 2006 02(e)(2)	Name	Nicholas Nevins
	Title	Accounting Manager
	Business Mailing Address	1 Paragon Drive, Suite 255, Montvale, NJ 07645
	Telephone Number	732-966-0719
	E-Mail Address	nick@brokeronlinexchange.com

Department of Energy Assessment Payments Contact		
Puc 2006 02(e)(3)	Name	Nicholas Nevins
	Title	Accounting Manager
	Business Mailing Address	1 Paragon Drive, Suite 255, Montvale, NJ 07645
	Telephone Number	732-966-0719
	E-Mail Address	nick@brokeronlinexchange.com

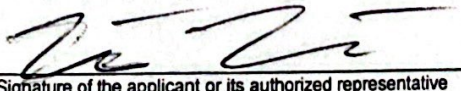
Separate Attachments: Business Authority and Trade Name	
Puc 2006 02(f)	<p>Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import;</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.</p>
Puc 2006 02(g)	<p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:</p> <p>(1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.</p>

Statements Regarding Applicant and its Principals		
Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006 02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006 02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006 02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006 02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006 02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

Other States		
Puc 2006 02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	IL ME MD MA NJ PA OH DE VA DC

Statement Regarding Supplier Representation		
Puc 2006 02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.	

Expected Marketing Start Date		
Puc 2006 02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	N/A Date

Attestation and Signature		
Puc 2006 02(l) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.	
	 Signature of the applicant or its authorized representative	7/5/23 Date
	Name: <i>Nicholas Xenis</i>	
	Title: <i>Accounting Manager</i>	

Filing Instructions		
	1) Mail an original and two paper copies of this form and all separate attachments to: New Hampshire Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301 2) E-mail a PDF of this form and all separate attachments to: registrations@energy.nh.gov	



State of New Hampshire
Department of State
Payment Receipt



Work Order #: 20239980784107

Receipt Date/Time: 06/26/2023 08:26:00 AM

Payer Information:

Arthur Gruen
 400 Rella Boulevard, Suite 160
 Suffern, NY, 10901, USA

Filer Information:

Arthur Gruen
 400 Rella Boulevard, Suite 160
 Suffern, NY, 10901, USA

Payer Customer ID: 81114

Filer Customer ID: 81114

Payment Information:

Date	Payment Type	Payment Reference	Authorization #	Payment Status	Payment Amount
06/26/2023 08:26:00 AM	CR	CC#: #####8475	00035G	Paid	\$7.00
Total Payment Received:					\$7.00

Transaction Description:

Transaction #	Description	Reference Information
20239980784107-000	Electronic Handling Charge	N/A
20239980784107-001	Certificate of Good Standing	BROKER ONLINE EXCHANGE, LLC

Transaction Information:

Date Received	Transaction #	Processing Status	Invoice Status	Amount
06/26/2023 08:26:00 AM	20239980784107-000	Accepted	Paid	\$2.00
06/26/2023 08:26:00 AM	20239980784107-001	Accepted	Paid	\$5.00
Total:				\$7.00

Drawdown Account Balance:	\$0.00	Total Due:	\$0.00
Credit Account Balance:	\$0.00	Total Refunded:	\$0.00
		Total Change To Credit Account Balance:	\$0.00

Mailing Address - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989
Physical Location - State House Annex, 3rd Floor, Room 317, 25 Capitol Street, Concord, NH
Phone: (603)271-3246 | **Fax:** (603)271-3247 | **Email:** corporate@sos.nh.gov | **Website:** sos.nh.gov

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- US
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- Logout

User ID: (brb)aroline1

Last Login: 06/26/2023 08:13 AM

Account Logout

Business Search

Starts With Contains Exact Match All Words

Search Business Name: Or Business ID:

Business ID	Business Name	Home/State Name	Previous Name	Business Type	Status	Select
711058	Broker Online Exchange LLC	Broker Online Exchange LLC		Foreign Limited Liability Company	Admin Suspension	<input type="radio"/>
749227	BROKER ONLINE EXCHANGE, LLC	BROKER ONLINE EXCHANGE, LLC		Foreign Limited Liability Company	Good Standing	<input checked="" type="radio"/>

Page 1 of 1, results 1 to 2 of 2

Continued



State of New Hampshire
Department of State

Filed
Date Filed: 08/15/2016
Effective Date: 08/15/2016
Business ID: 749221
William M. O'Connell
Secretary of State

Form PLLC-1
RSA 304-G:175

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is

BROKER ONLINE EXCHANGE, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is

BROKER ONLINE EXCHANGE, LLC

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is 11/28/2013

DURATION: The period of duration is Perpetual

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is

NAICS CODE	NAICS SUBCODE
OTHER / Electricity Aggregator	

SIXTH: The name of its registered agent IN NEW HAMPSHIRE is Registered Agent Solutions, Inc.

and the physical address, of its initial registered office IN NEW HAMPSHIRE is

159 Main St Ste 100, Nashua, NH, 03060, USA

SEVENTH: The sale or offer for sale of membership interests of the limited liability company will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-D).

PRINCIPAL OFFICE ADDRESS:

PRINCIPAL OFFICE BUSINESS ADDRESS	PRINCIPAL OFFICE MAILING ADDRESS
400 Rella Boulevard, Suite 160, Safton, NY, 10901, USA	400 Rella Boulevard, Suite 160, Safton, NY, 10901, USA

Mailing Address - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989
Physical Location - State House Annex, 3rd Floor, Room 317, 25 Capitol Street, Concord, NH
Phone: (603)271-3246 | Fax: (603)271-3247 | Email: corporate@con.nh.gov | Website: con.nh.gov

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form PLLC-1
(Cont.)

BROKER ONLINE EXCHANGE, LLC

CERTIFY:

By checking this box and certifying, each signatory certifies that the information provided herein is true, accurate, and complete to the best of his/her knowledge and belief, and that he/she has authorized the affixing of his/her electronic signature in accordance with the Electronic Signatures in Global and National Commerce Act (e-Sign) and N.H. RSA § 294-E. Further, each signatory understands that his/her electronic signature has full legal effect and enforceability and he/she intends this form, as signed, to be filed with the office of the New Hampshire Secretary of State.

EFFECTIVE DATE:

This statement shall be effective from: 08/15/2016

*Signature: Arthur Green

Title: VP

Date signed: 08/15/2016

Complete address of person signing: 406 Rella Boulevard, Suite 168, Suffern, NY, 10981, USA

Notice: The membership interests of the limited liability company: 1) have been registered or when offered will be registered under RSA 421-B; 2) are exempted or when offered will be exempted under RSA 421-B; 3) are or will be offered in a transaction exempted from registration under RSA 421-B; 4) are not securities under RSA 421-B; OR 5) are federal covered securities under RSA 421-B. The statement above shall not by itself constitute a registration or a notice of exemption from registration of securities within the meaning of sections 448 and 461(f)(C) of the United States Internal Revenue Code and the regulations promulgated thereunder.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mailing Address - Corporation Division, NH Department of State, 107 North Main Street, Room 204, Concord, NH 03301-4989
Physical Location - State House Annex, 3rd Floor, Room 317, 25 Capitol Street, Concord, NH
Phone: (603)271-3246 | Fax: (603)271-3247 | Email: corporate@sos.nh.gov | Website: sos.nh.gov

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THE STATE OF NEW HAMPSHIRE



PUBLIC UTILITIES COMMISSION
21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

TDD Access Policy NH
1-800-735-2364
Tel. (603) 871-8431
FAX (603) 871-5878
Website
www.puc.nh.gov

CHAIRMAN
Amy L. Ignatius

COMMISSIONERS
Robert R. Scott
Martin P. Hertzberg

EXECUTIVE DIRECTOR
Debra A. Howland

August 28, 2014

Arthur Gruen, VP
Broker Online Exchange
PO Box 4668 #45033
New York, NY 10163-4668

Re: DM 14-202 Broker Online Exchange, LLC
Application to Register as Provider of Electric Aggregation Service

Dear Mr. Gruen:

On July 28, 2014, Broker Online Exchange, LLC submitted an application with the Commission to register as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of August 28, 2014. The registration is for a term of 2 years and expires at the end of business on August 27, 2016. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before June 29, 2016.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 - Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>. Please also be aware that the Commission has opened Docket DRM 13-151 to consider revisions to these rules. All non-confidential filings in Docket DRM 13-151 can be found at <http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html>. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Please note that, effective as of July 1, 2014, each registered electric aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

Handwritten signature of Debra A. Howland in black ink.

Debra A. Howland
Executive Director

cc: Service List/Docket File

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