

VIA OVERNIGHT AND EMAIL

September 27, 2023

New Hampshire Department of Energy 21 South Fruit Street, Suite 10 Concord NH 03301

RE: Initial Application of Arbor Energy Brokerage Services LLC as an Electric Aggregator

To whom it may concern:

Please find enclosed an original and two (2) copies of the Initial Application of Arbor Energy Brokerage Services LLC as an Electricity Aggregator in the State of New Hampshire. In addition to the physical copies, a PDF of each document has been sent to registrations@energy.nh.gov as instructed.

In addition to the Initial Application, please find enclosed a check in the amount of \$250.00 for the initial registration as an electric load aggregator.

If you have any questions regarding the Initial Application, do not hesitate to contact me at (281) 783-9005 or via email at jeff@joinarbor.com.

Sincerely,

Jeff Mahoney Head of Brokerage

Aggregator Registration

Form Revd. 3/2022

21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-3670

www.energy.nh.gov

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Department of Energy of any changes to information in a previously filed electric aggregator application form. This form is provided as a convenience for filing only. You are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.

	Indicate whether this application is for an initial registration or for a renewal. Initial 🔳 Renewal 🗌			
	Applicant's General Information			
Puc 2006.02(a)	Legal Name	Arbor Energy Brokerage Services LLC		
	Trade Name (d/b/a) (if applicable)			
Puc 2006.02(b)	Business Mailing Address	2418 Spring Lane #5524		
	Dusiness Mailing Address	Austin, TX 78763		
	Telephone Number	888-836-3145		
	E-Mail Address	support@joinarbor.com		
	Website Address (if applicable)	https://www.joinarbor.com/		
Puc 2006.02(c)		usiness address(es), telephone number(s), and e-mail address(es) of the applicant, if an principal(s), 1 if the applicant is anything other than an individual. Use additional sheets if ace provided on the form.		
	Name	Andrew Meyer		
	Title	CEO		
	Business Mailing Address	2418 Spring Lane #5524		
	Business Mailing Address	Austin, TX 78763		
	Telephone Number	512-222-8192		
	E-Mail Address	andrew@joinarbor.com		
	Nama	Loff Mahamay		
	Name	Jeff Mahoney		
	Title	Head of Brokerage		
	Business Mailing Address	2418 Spring Lane #5524		
		Austin, TX 78763		
	Telephone Number	888-836-3145		
	Email Address	jeff@joinarbor.com		
	Name			
	Title			
	Tido			
	Business Mailing Address			
	Telephone Number			
	E-Mail Address			

^{1. &}quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.

	Customer Service Contact		
Puc 2006.02(d)	Name	Jeff Mahoney	
	Title	Head of Brokerage	
	Telephone Number	512-222-8192	
	Toll-Free Telephone Number (if available)	888-836-3145	
	E-Mail Address	support@joinarbor.com	

	Customer Complaints Contact			
Puc 2006.02(e)(1)	Name	Jeff Mahoney		
	Title	Head of Brokerage		
	Business Mailing Address	2418 Spring Lane #5524		
		Austin, TX 78763		
	Telephone Number	281-783-9005		
	E-Mail Address	regulatory@joinarbor.com		

	Regulatory Compliance Matters Contact			
Puc 2006.02(e)(2)	Name	Jeff Mahoney		
	Title	Head of Brokerage		
	Business Mailing Address	2418 Spring Lane #5524		
		Austin, TX 78763		
	Telephone Number	281-783-9005		
	E-Mail Address	regulatory@joinarbor.com		

	Department of Energy Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Jeff Mahoney	
	Title	Head of Brokerage	
	Business Mailing Address	2418 Spring Lane #5524	
		Austin, TX 78763	
	Telephone Number	281-783-9005	
	E-Mail Address	regulatory@joinarbor.com	

	Separate Attachments: Business Authority and Trade Name			
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:			
(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the stat Standing" or words of similar import;				
	(2) or a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do			
	business in New Hampshire. Please see Attachment A			
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name. Applicant has no registered trade names in New Hampshire			

Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

Other States		
	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	Please see Attachment B

Statement Regarding Supplier Representation			
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No	
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use addition needed.	onal sheets if	

	Expected Marketing Start Date		
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	11/01/2023 Date	

	Attestation and Signature			
Puc 2006.02(I) and (m)	By signing below, the applicant representative certifies that it has the authority to file the application on behalf of the aggregator and attests that the contents of the application are truthful, accurate, and complete. Signature of the applicant or its authorized representative Name: Jeff Mahoney Title: Head of Brokerage	09/27/2023 Date		

Filing Instructions		
1) Mail an original and two paper copies of this form and all separate attachments to:		
New Hampshire Department of Energy, 21 South Fruit St., Suite 10, Concord, NH 03301		
E-mail a PDF of this form and all separate attachments to: <u>registrations@energy.nh.gov</u>		

State of New Hampshire Department of State

CERTIFICATE OF EXISTENCE

OF

ARBOR ENERGY BROKERAGE SERVICES LLC

This is to certify that **ARBOR ENERGY BROKERAGE SERVICES LLC** is registered in this office as a **Delaware Limited Liability Company** to transact business in New Hampshire on 9/12/2023 11:00:00 AM.

Business ID: 941907



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 12th day of September A.D. 2023.

> David M. Scanlan Secretary of State

ATTACHMENT B OTHER STATES

As of the date of this application, Applicant does not conduct business relating to the aggregation of electric customers in any state. Applicant has applied for a power broker / aggregator license with the Public Utilities Commission of Ohio and the Maine Public Utilities Commission and is pending Commission action.